# Package 'GENESIS'

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Type Package

**Title** GENetic EStimation and Inference in Structured samples (GENESIS): Statistical methods for analyzing genetic data from samples with population structure and/or relatedness

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Description The GENESIS package provides methodology for estimating, inferring, and accounting for population and pedigree structure in genetic analyses. The current implementation provides functions to perform PC-AiR (Conomos et al., 2015, Gen Epi) and PC-Relate (Conomos et al., 2016, AJHG). PC-AiR performs a Principal Components Analysis on genome-wide SNP data for the detection of population structure in a sample that may contain known or cryptic relatedness. Unlike standard PCA, PC-AiR accounts for relatedness in the sample to provide accurate ancestry inference that is not confounded by family structure. PC-Relate uses ancestry representative principal components to adjust for population structure/ancestry and accurately estimate measures of recent genetic relatedness such as kinship coefficients, IBD sharing probabilities, and inbreeding coefficients. Additionally, functions are provided to perform efficient variance component estimation and mixed model association testing for both quantitative and binary phenotypes.

# License GPL-3

#### Depends

- **Imports** GWASTools, SeqArray, SeqVarTools, Biobase, gdsfmt, graph, grDevices, graphics, stats, utils
- Suggests SNPRelate, logistf, survey, CompQuadForm, RUnit, BiocGenerics, knitr

#### VignetteBuilder knitr

**biocViews** SNP, GeneticVariability, Genetics, StatisticalMethod, DimensionReduction, PrincipalComponent, GenomeWideAssociation, QualityControl, BiocViews

# NeedsCompilation no

# **R** topics documented:

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GENetic EStimation and Inference in Structured samples (GENESIS): Statistical methods for analyzing genetic data from samples with population structure and/or relatedness

#### Description

The GENESIS package provides methodology for estimating, inferring, and accounting for population and pedigree structure in genetic analyses. The current implementation performs PC-AiR (Conomos et al., 2015, Gen Epi) and PC-Relate (Conomos et al., 2016, AJHG). PC-AiR performs a Principal Components Analysis on genome-wide SNP data for the detection of population structure in a sample that may contain known or cryptic relatedness. Unlike standard PCA, PC-AiR accounts for relatedness in the sample to provide accurate ancestry inference that is not confounded by family structure. PC-Relate uses ancestry representative principal components to adjust for population structure/ancestry and accurately estimate measures of recent genetic relatedness such as kinship coefficients, IBD sharing probabilities, and inbreeding coefficients. Additionally, functions are provided to perform efficient variance component estimation and mixed model association testing for both quantitative and binary phenotypes.

#### Details

#### **GENESIS**-package

Package:	GENESIS
Туре:	Package
Version:	2.1.7
Date:	2016-4-1
License:	GPL-3
Depends:	GWASTools
Suggests:	gdsfmt, SNPRelate, RUnit, BiocGenerics, knitr
VignetteBuilder:	knitr
biocViews:	SNP, GeneticVariability, Genetics, StatisticalMethod, DimensionReduction, PrincipalComponent, Genome

The PC-AiR analysis is performed using the pcair function, which takes genotype data and pairwise measures of kinship and ancestry divergence as input and returns PC-AiR PCs as the ouput. The function pcairPartition is called within pcair and uses the PC-AiR algorithm to partition the sample into an ancestry representative 'unrelated subset' and 'related subset'. The function plot.pcair can be used to plot pairs of PCs from a class 'pcair' object returned by the function pcair. The function king2mat can be used to convert output text files from the KING software (Manichaikul et al., 2010) into an R matrix of pairwise kinship coefficient estimates in a format that can be used by the functions pcair and pcairPartition. The PC-Relate analysis is performed using the pcrelate function, which takes genotype data and PCs from PC-AiR and returns estimates of kinship coefficients, IBD sharing probabilities, and inbreeding coefficients. The functions pcrelateReadKinship, pcrelateReadInbreed, and pcrelateMakeGRM provide utilities for reading and making tables or matrices of the PC-Relate output. There are two functions required to perform SNP genotype association testing with mixed models. First, fitNullMM is called to fit the null model (i.e. no SNP genotype term) including fixed effects covariates, such as PC-AiR PCs, and random effects specified by their covariance structures, such as a kinship matrix created from PC-Relate output using pcrelateMakeGRM. The function fitNullMM uses AIREML to estimate variance components for the random effects, and the function varCompCI can be used to find confidence intervals on the estimates as well as the proportion of total variability they explain; this allows for heritability estimation. Second, assocTestMM is called with the null model output and the genotype data to perform either Wald or score based association tests.

#### Author(s)

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#### References

Conomos M.P., Reiner A.P., Weir B.S., & Thornton T.A. (2016). Model-free Estimation of Recent Genetic Relatedness. American Journal of Human Genetics, 98(1), 127-148.

Conomos M.P., Miller M., & Thornton T. (2015). Robust Inference of Population Structure for Ancestry Prediction and Correction of Stratification in the Presence of Relatedness. Genetic Epidemiology, 39(4), 276-293.

Gogarten, S. M., Bhangale, T., Conomos, M. P., Laurie, C. A., McHugh, C. P., Painter, I., ... & Laurie, C. C. (2012). GWASTools: an R/Bioconductor package for quality control and analysis of Genome-Wide Association Studies. Bioinformatics, 28(24), 3329-3331.

Manichaikul, A., Mychaleckyj, J.C., Rich, S.S., Daly, K., Sale, M., & Chen, W.M. (2010). Robust relationship inference in genome-wide association studies. Bioinformatics, 26(22), 2867-2873.

assocTestMM

SNP Genotype Association Testing with Mixed Models

# Description

assocTestMM performs SNP genotype association tests using the null model fit with fitNullMM.

# Usage

genoData	An object of class GenotypeData from the package GWASTools containing the genotype data for SNPs and samples to be used for the analysis. This object can easily be created from a matrix of SNP genotype data, PLINK files, or GDS files. Alternatively, this could be an object of class SeqVarData from the package SeqVarTools containing the genotype data for the sequencing variants and samples to be used for the analysis.	
nullMMobj	A null model object returned by fitNullMM.	
test	A character string specifying the type of test to be performed. The possibilities are "Wald" (default) or "Score"; only "Score" can be used when the family of the null model fit with fitNullMM is not gaussian.	
<pre>snp.include</pre>	A vector of SNP IDs to include in the analysis. If NULL, see chromosome for further details.	
chromosome	A vector of integers specifying which chromosomes to analyze. This parameter is only considred when snp.include is NULL; if chromosome is also NULL, then all SNPs are included.	
impute.geno	A logical indicator of whether sporadic missing genotype values should be mean imputed. The default is TRUE. See 'Details' for further information.	
<pre>snp.block.size</pre>	The number of SNPs to read-in/analyze at once. The default value is 5000. See 'Details' for further information regarding how this parameter works when impute.geno is FALSE.	
ivars	A vector of character strings specifying the names of the variables for which a genotype interaction term should be included. If NULL (default) no genotype interactions are included. See 'Details' for further information.	
ivar.return.betaCov		
	Logical indicator of whether the estimated covariance matrix of the effect size estimates (betas) for the genotype and genotype interaction terms should be re- turned; the default is FALSE.	
verbose	Logical indicator of whether updates from the function should be printed to the console; the default is TRUE.	

## assocTestMM

#### Details

When impute.geno is TRUE, sporadic missing genotype values are mean imputed using the minor allele frequency (MAF) calculated on all other samples at that SNP. When impute.geno is FALSE, samples with missing values for all of the SNP genotypes in the current SNP block are removed from the analysis for the block; this may significantly slow down computation time because many pre-computed matrices need to be re-computed each time the sample set changes. Also note: when impute.geno is FALSE, sporadic missingness for a sample inside of a SNP block will lead to an error.

The input ivars can be used to perform GxE tests. Multiple interaction variables may be specified, but all interaction variables specified must have been included as covariates in fitting the null model with fitNullMM. When performing GxE analyses, assocTestMM will report two tests: (1) the joint test of all genotype interaction terms in the model (this is the test for any genotype interaction terms (this is the test for any genetic effect). Individual genotype interaction terms can be tested by creating Wald test statistics from the reported effect size estimates and their standard errors (Note: when ivars contains a single continuous or binary covariate, this test is the same as the test for any genotype interaction terms, ivar.return.betaCov can be used to retrieve the estimated covariance matrix of the effect size estimates.

# Value

A data.frame where each row refers to a different SNP with the columns:

snpID	The SNP ID	
chr	The numeric chromosome value	
n	The number of samples used to analyze the SNP	
MAF	The estimated minor allele frequency	
minor.allele	Either "A" or "B" indicating which allele is the minor allele	
If test is "Score":		
Score	The value of the score function	
Var	The variance of the score function	
Score.Stat	The score chi-squared test statistic	
Score.pval	The score p-value	
If test is "Wald" and ivars is NULL:		
Est	The effect size estimate for each additional copy of the "A" allele	
SE	The estimated standard error of the effect size estimate	
Wald.Stat	The Wald chi-squared test statistic	
Wald.pval	The Wald p-value	
If test is "Wald" and ivars is not NULL:		
Est.G	The effect size estimate for the genotype term	

m	The effect size estimate for the genotype*ivar interaction term. There will be as nany of these terms as there are interaction variables, and "ivar" will be replaced with the variable name.
SE.G T	he estimated standard error of the genotype term effect size estimate
W	The estimated standard error of the genotype*ivar effect size estimate. There will be as many of these terms as there are interaction variables, and "ivar" will e replaced with the variable name.
W	The Wald chi-squared test statistic for the test of all genotype interaction terms. When there is only one genotype interaction term, this is the test statistic for that term.
•	The Wald p-value for the test of all genotype interaction terms; i.e. the test of ny genotype interaction effect
	The Wald chi-squared test statistic for the joint test of the genotype term and all f the genotype interaction terms
	The Wald p-value for the joint test of the genotype term and all of the genotype nteraction terms; i.e. the test of any genotype effect

When ivars is not NULL, if ivar.return.betaCov is TRUE, then the output is a list with two elements. The first, "results", is the data.frame described above. The second, "betaCov", is a list with length equal to the number of rows of "results", where each element of the list is the covariance matrix of the effect size estimates (betas) for the genotype and genotype interaction terms.

If genoData is a SeqVarData object, the effect size estimate is for each copy of the alternate allele.

#### Note

The GenotypeData function in the GWASTools package should be used to create the input genoData. Input to the GenotypeData function can easily be created from an R matrix or GDS file. PLINK .bed, .bim, and .fam files can easily be converted to a GDS file with the function snpgdsBED2GDS in the SNPRelate package. Alternatively, the SeqVarData function in the SeqVarTools package can be used to create the input genodata when working with sequencing data.

#### Author(s)

Matthew P. Conomos

#### See Also

fitNullMM for fitting the null mixed model needed as input to assocTestMM. qqPlot for a function to make QQ plots and manhattanPlot for a function to make Manhattan plots of p-values. GWASTools for a description of the package containing the following functions: GenotypeData for a description of creating a GenotypeData class object for storing sample and SNP genotype data, MatrixGenotypeReader for a description of reading in genotype data stored as a matrix, and GdsGenotypeReader for a description of reading in genotype data stored as a GDS file. Also see snpgdsBED2GDS in the SNPRelate package for a description of converting binary PLINK files to GDS.

#### assocTestSeq

# Examples

library(GWASTools)

```
# file path to GDS file
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")</pre>
# read in GDS data
HapMap_geno <- GdsGenotypeReader(filename = gdsfile)</pre>
# create a GenotypeData class object
HapMap_genoData <- GenotypeData(HapMap_geno)</pre>
# load saved matrix of KING-robust estimates
data("HapMap_ASW_MXL_KINGmat")
# run PC-AiR
mypcair <- pcair(genoData = HapMap_genoData, kinMat = HapMap_ASW_MXL_KINGmat,</pre>
                 divMat = HapMap_ASW_MXL_KINGmat)
# run PC-Relate
mypcrel <- pcrelate(genoData = HapMap_genoData, pcMat = mypcair$vectors[,1],</pre>
         training.set = mypcair$unrels)
# generate a phenotype
set.seed(4)
pheno <- 0.2*mypcair$vectors[,1] + rnorm(mypcair$nsamp, mean = 0, sd = 1)</pre>
# make ScanAnnotationDataFrame
scanAnnot <- ScanAnnotationDataFrame(data.frame(scanID = mypcrel$sample.id,</pre>
              pc1 = mypcair$vectors[,1], pheno = pheno))
# make covMatList
covMatList <- list("Kin" = pcrelateMakeGRM(mypcrel))</pre>
# fit the null mixed model
nullmod <- fitNullMM(scanData = scanAnnot, outcome = "pheno", covars = "pc1", covMatList = covMatList)
# run the association test
myassoc <- assocTestMM(genoData = HapMap_genoData, nullMMobj = nullmod)</pre>
close(HapMap_genoData)
# make a QQ plot
qqPlot(myassoc$Wald.pval)
```

assocTestSeq

Aggregate Association Testing with Sequencing Data

## Description

assocTestSeq performs aggregate association tests with sequencing data using the null model fit with fitNullMM or fitNullReg.

# Usage

seqData	An object of class SeqVarData from the package SeqVarTools containing the sequencing genotype data for variants and samples to be used for the analysis.
nullModObj	A null model object returned by fitNullMM when using mixed models or fitNullReg when using linear or logistic regression.
aggVarList	A list specifying the variant aggregation units to be tested; each element of the list represents one aggregate test. Each element of the list should be a data.frame that contains at least two columns: variant.id matching the variant.id in seqData for the variants that should be aggregated, and allele.index specifying which allele in seqData is to be tested at that variant.id. Multiple alleles can be included at the same variant location by including multiple rows with the same variant.id and different allele.index values. allele.index=0 indicates the reference allele, allele.index=1 is the first alternate allele, allele.index=2 is the second alternate allele (for multiallelic variants), and so on up to the number of possible alleles per variant.
AF.sample	A vector of sample.id values specifying which samples should be used for allele frequency calculation. When NULL (the default), all samples included in the test are used. Allele frequency calculation will affect variant inclusion based on AF.range and variant weighting based on weight.beta.
AF.range	A numeric vector of length two specifying the lower and upper bounds on the alternate allele frequency for variants to be included in the analysis. Variants with alternate allele frequencies outside of this range are given a weight of 0 (i.e. excluded).
weight.beta	A numeric vector of length two specifying the two parameters of the Beta distribution used to determine variant weights; weights are given by dbeta(AF, a, b), where AF is the alternate allele frequency, and a and b are the two parameters specified here. weight.beta = $c(1,25)$ gives the Wu weights; weight.beta = $c(0.5, 0.5)$ is proportional to the Madsen-Browning weights; and weight.beta = $c(1,1)$ gives a weight of 1 to all variants.
test	A character string specifying the type of test to be performed. The possibilities are "Burden" (default) or "SKAT". When this is set to "SKAT" and the parameter rho has multiple values, a SKAT-O test is performed.
burden.test	A character string specifying the type of Burden test to perform when test = "Burden". The possibilities are "Score", "Wald", and "Firth". "Score" can be used for any nullModObj. "Wald" can not be used when the nullModObj is from a mixed model with a binary outcome variable. "Firth" can only be used when the nullModObj is from a logistic regression with a binary outcome variable.
rho	A numeric value (or vector of numeric values) in $[0,1]$ specifying the rho parameter for SKAT. When rho = 0, a standard SKAT test is performed. When rho

# assocTestSeq

	= 1, a score burden test is performed. When rho is a vector of values, SKAT-O is performed using each of those values as the search space for the optimal rho.
pval.method	A character string specifying which method to use to calculate SKAT p-values. "kuonen" (the default) uses a saddlepoint method; "davies" uses numerical inte- gration; and "liu" uses a moment matching approximation.
verbose	Logical indicator of whether updates from the function should be printed to the console; the default is TRUE.

# Value

A list with the following items:

param	A list with model parameters including:	
AF.range	The lower and upper bounds on the alternate allele frequency for variants that were included in the analysis.	
weight.beta	The two parameters of the Beta distribution used to determine variant weights if used, NULL otherwise.	
family	Either "gaussian" for a continous outcome or "binomial" for a binary outcome.	
mixedmodel	Logical indicating whether or not a mixed model was used to fit the null model.	
test	Specifies whether Burden, SKAT, or SKAT-O tests were performed.	
burden.test	If test = "Burden", specifies if Score, Wald, or Firth tests were performed.	
rho	The values of rho used in the SKAT or SKAT-O test.	
pval.method	The p-value calculation method used in SKAT or SKAT-O tests.	
nsample	A list with the following values:	
analysis	The number of samples included in the analysis.	
AF	The number of samples used to calculate allele frequencies.	
results	A data.frame containing the results from the main analysis. Each row is a separate aggregate test:	
n.site	The number of variant sites included in the test.	
n.sample.alt	The number of samples with an observed alternate allele at any variant in the aggregate set.	
If test is "Burden":		
burden.skew	The skewness of the burden value for all samples.	
If burden.test is "Score":		
Score	The value of the score function	
Var	The variance of the score function	
Score.stat	The score chi-squared test statistic	
Score.pval	The score p-value	
If burden.test is "Wald":		

Est	The effect size estimate for a one unit increase in the burden value
SE	The estimated standard error of the effect size estimate
Wald.stat	The Wald chi-squared test statistic
Wald.pval	The Wald p-value
If burden.test is	s "Firth":
Est	The effect size estimate for a one unit increase in the burden value
SE	The estimated standard error of the effect size estimate
Firth.stat	The Firth test statistic
Firth.pval	The Firth p-value
If test is "SKAT'	':
Q_rho	The SKAT test statistic for the value of rho specified. There will be as many of these variables as there are rho values chosen.
pval_rho	The SKAT p-value for the value of rho specified. There will be as many of these variables as there are rho values chosen.
err_rho	Takes value 1 if there was an error in calculating the p-value for the value of rho specified when using the "kunonen" or "davies" methods; 0 otherwise. When there is an error, the p-value returned is from the "liu" method. There will be as many of these variables as there are rho values chosen.
When length(rh	o) > 1 and SKAT-O is performed:
min.pval	The minimum p-value among the p-values calculated for each choice of rho.
opt.rho	The optimal rho value; i.e. the rho value that gave the minimum p-value.
pval_SKATO	The SKAT-O p-value after adjustment for searching across multiple rho values.
variantInfo	A list with as many elements as aggregate tests performed. Each element of the list is a data.frame providing information on the variants used in the aggregate test with results presented in the corresponding row of results. Each of these data.frames has the following information:
variantID	The variant.id value from seqData.
allele	The index of the allele in seqData.
chr	The chromosome the variant is located on.
pos	The position of the variant on the chromosome.
n.obs	The number of samples with observed genotype values at the variant.
freq	The allele frequency calculated using the samples specified by AF.sample (or all samples if AF.sample is NULL) of the alternate allele given by the allele index at the variant.
weight	The weight assigned to the variant in the analysis. A weight of 0 means the variant was excluded.

# Author(s)

Matthew P. Conomos

#### assocTestSeqWindow

## Examples

```
library(SeqVarTools)
library(Biobase)
# open a sequencing GDS file
gdsfile <- seqExampleFileName("gds")</pre>
gds <- seqOpen(gdsfile)</pre>
# simulate some phenotype data
data(pedigree)
pedigree <- pedigree[match(seqGetData(gds, "sample.id"), pedigree$sample.id),]</pre>
pedigree$outcome <- rnorm(nrow(pedigree))</pre>
# construct a SeqVarData object
seqData <- SeqVarData(gds, sampleData=AnnotatedDataFrame(pedigree))</pre>
# fit the null model
nullmod <- fitNullReg(sampleData(seqData), outcome="outcome", covars="sex")</pre>
# select variant aggregation units (allele.index=1 tests the alternate allele)
agg <- list(data.frame(variant.id=1:100, allele.index=1),</pre>
            data.frame(variant.id=101:200, allele.index=1),
            data.frame(variant.id=201:300, allele.index=1))
# burden test
assoc <- assocTestSeq(seqData, nullmod, agg, test="Burden")</pre>
assoc$results
lapply(assoc$variantInfo, head)
# SKAT test
assoc <- assocTestSeq(seqData, nullmod, agg, test="SKAT")</pre>
assoc$results
# SKAT-0 test
assoc <- assocTestSeq(seqData, nullmod, agg, test="SKAT", rho=seq(0, 1, 0.25))
assoc$results
# user-specified weights
variant.id <- seqGetData(gds, "variant.id")</pre>
weights <- data.frame(variant.id, weight=runif(length(variant.id)))</pre>
assoc <- assocTestSeq(seqData, nullmod, agg, test="Burden")</pre>
assoc$results
lapply(assoc$variantInfo, head)
seqClose(seqData)
```

assocTestSeqWindow Aggregate Association Testing with Sequencing Data in Sliding Windows

# Description

assocTestSeqWindow performs aggregate association tests with sequencing data in sliding windows using the null model fit with fitNullMM or fitNullReg.

# Usage

```
assocTestSeqWindow(seqData, nullModObj, variant.include = NULL, chromosome = NULL,
    window.size = 50, window.shift = 20, AF.sample = NULL, AF.range = c(0,1),
    weight.beta = c(0.5, 0.5), test = "Burden",
    burden.test = "Score", rho = 0, pval.method = "kuonen", verbose = TRUE)
```

seqData	An object of class SeqVarData from the package SeqVarTools containing the sequencing genotype data for variants and samples to be used for the analysis.
nullModObj	A null model object returned by fitNullMM when using mixed models or fitNullReg when using linear or logistic regression.
variant.includ	e
	A vector of variant.id values indicating which variants to include in the sliding window analysis. If NULL, see chromosome for further details.
chromosome	A vector specifying which chromosomes to analyze. This parameter is only considred when variant.include is NULL; if chromosome is also NULL, then all variants in seqData are included.
window.size	The size, in kb, of each window to be analyzed. Windows are defined based on physical position.
window.shift	The distance, in kb, that the window is shifted to create each new window.
AF.sample	A vector of sample.id values specifying which samples should be used for allele frequency calculation. When NULL (the default), all samples included in the test are used. Allele frequency calculation will affect variant inclusion based on AF.range and variant weighting based on weight.beta.
AF.range	A numeric vector of length two specifying the lower and upper bounds on the alternate allele frequency for variants to be included in the analysis. Variants with alternate allele frequencies outside of this range are given a weight of 0 (i.e. excluded).
weight.beta	A numeric vector of length two specifying the two parameters of the Beta distribution used to determine variant weights; weights are given by dbeta(AF, a, b), where AF is the alternate allele frequency, and a and b are the two parameters specified here. weight.beta = $c(1,25)$ gives the Wu weights; weight.beta = $c(0.5, 0.5)$ is proportional to the Madsen-Browning weights; and weight.beta = $c(1,1)$ gives a weight of 1 to all variants.
test	A character string specifying the type of test to be performed. The possibilities are "Burden" (default) or "SKAT". When this is set to "SKAT" and the parameter rho has multiple values, a SKAT-O test is performed.
burden.test	A character string specifying the type of Burden test to perform when test = "Burden". The possibilities are "Score", "Wald", and "Firth". "Score" can be

	used for any nullModObj. "Wald" can not be used when the nullModObj is from a mixed model with a binary outcome variable. "Firth" can only be used when the nullModObj is from a logistic regression with a binary outcome variable.
rho	A numeric value (or vector of numeric values) in $[0,1]$ specifying the rho parameter for SKAT. When rho = 0, a standard SKAT test is performed. When rho = 1, a score burden test is performed. When rho is a vector of values, SKAT-O is performed using each of those values as the search space for the optimal rho.
pval.method	A character string specifying which method to use to calculate SKAT p-values. "kuonen" (the default) uses a saddlepoint method; "davies" uses numerical inte- gration; and "liu" uses a moment matching approximation.
verbose	Logical indicator of whether updates from the function should be printed to the console; the default is TRUE.

# Value

A list with the following items:

param	A list with model parameters including:
AF.range	The lower and upper bounds on the alternate allele frequency for variants that were included in the analysis.
weight.beta	The two parameters of the Beta distribution used to determine variant weights if used, NULL otherwise.
family	Either "gaussian" for a continous outcome or "binomial" for a binary outcome.
mixedmodel	Logical indicating whether or not a mixed model was used to fit the null model.
test	Specifies whether Burden, SKAT, or SKAT-O tests were performed.
burden.test	If test = "Burden", specifies if Score, Wald, or Firth tests were performed.
rho	The values of rho used in the SKAT or SKAT-O test.
pval.method	The p-value calculation method used in SKAT or SKAT-O tests.
window	A list with the following values:
size	The size of the windows in kb
shift	The distance each window was shifted, in kb, to create the next window.
nsample	A list with the following values:
analysis	The number of samples included in the analysis.
AF	The number of samples used to calculate allele frequencies.
results	A data.frame containing the results from the main analysis. Each row is a sepa- rate aggregate test:
chr	The chromosome that the window is on.
window.start	The base position of the start of the window.
window.stop	The base position of the end of the window.
n.site	The number of variant sites included in the test.
dup	Takes the value 1 if the variants in this window are identical to the variants in the previous window; takes the value 0 otherwise.

If test is "Burden":		
burden.skew	The skewness of the burden value for all samples.	
If burden.test is	"Score":	
Score	The value of the score function	
Var	The variance of the score function	
Score.stat	The score chi-squared test statistic	
Score.pval	The score p-value	
If burden.test is	"Wald":	
Est	The effect size estimate for a one unit increase in the burden value	
SE	The estimated standard error of the effect size estimate	
Wald.stat	The Wald chi-squared test statistic	
Wald.pval	The Wald p-value	
If burden.test is	"Firth":	
Est	The effect size estimate for a one unit increase in the burden value	
SE	The estimated standard error of the effect size estimate	
Firth.stat	The Firth test statistic	
Firth.pval	The Firth p-value	
If test is "SKAT"	·:	
Q_rho	The SKAT test statistic for the value of rho specified. There will be as many of these variables as there are rho values chosen.	
pval_rho	The SKAT p-value for the value of rho specified. There will be as many of these variables as there are rho values chosen.	
err_rho	Takes value 1 if there was an error in calculating the p-value for the value of rho specified when using the "kunonen" or "davies" methods; 0 otherwise. When there is an error, the p-value returned is from the "liu" method. There will be as many of these variables as there are rho values chosen.	
When $length(rho) > 1$ and SKAT-O is performed:		
min.pval	The minimum p-value among the p-values calculated for each choice of rho.	
opt.rho	The optimal rho value; i.e. the rho value that gave the minimum p-value.	
pval_SKATO	The SKAT-O p-value after adjustment for searching across multiple rho values.	
variantInfo	A data.frame providing information on all of the variants used in the aggregate tests across all of the windows. The data.frame contains the following informa- tion:	
variantID	The variant.id value from seqData.	
allele	The index of the allele in seqData.	
chr	The chromosome the variant is located on.	

pos	The position of the variant on the chromosome.
n.obs	The number of samples with observed genotype values at the variant.
freq	The allele frequency calculated using the samples specified by AF.sample (or all samples if AF.sample is NULL) of the alternate allele given by the allele index at the variant.
weight	The weight assigned to the variant in the analysis. A weight of 0 means the variant was excluded.

## Author(s)

Matthew P. Conomos

#### Examples

```
library(SeqVarTools)
library(Biobase)
# open a sequencing GDS file
gdsfile <- seqExampleFileName("gds")</pre>
gds <- seqOpen(gdsfile)</pre>
# simulate some phenotype data
data(pedigree)
pedigree <- pedigree[match(seqGetData(gds, "sample.id"), pedigree$sample.id),]</pre>
pedigree$outcome <- rnorm(nrow(pedigree))</pre>
# construct a SegVarData object
seqData <- SeqVarData(gds, sampleData=AnnotatedDataFrame(pedigree))</pre>
# fit the null model
nullmod <- fitNullReg(sampleData(seqData), outcome="outcome", covars="sex")</pre>
# burden test
assoc <- assocTestSeqWindow(seqData, nullmod, chromosome=22, test="Burden")</pre>
head(assoc$results)
head(assoc$variantInfo)
# SKAT test
assoc <- assocTestSegWindow(segData, nullmod, chromosome=22, test="SKAT")</pre>
head(assoc$results)
# SKAT-0 test
assoc <- assocTestSeqWindow(seqData, nullmod, chromosome=22, test="SKAT", rho=seq(0, 1, 0.25))
head(assoc$results)
# user-specified weights
variant.id <- seqGetData(gds, "variant.id")</pre>
weights <- data.frame(variant.id, weight=runif(length(variant.id)))</pre>
assoc <- assocTestSeqWindow(seqData, nullmod, chromosome=22, test="Burden")</pre>
head(assoc$results)
head(assoc$variantInfo)
```

#### seqClose(seqData)

fitNullMM

# Fit a Mixed Model Under the Null Hypothesis

# Description

fitNullMM fits a mixed model with random effects specified by their covariance structures; this allows for the inclusion of a polygenic random effect using a kinship matrix or genetic relationship matrix (GRM). The output of fitNullMM can be used to estimate genetic heritability and can be passed to assocTestMM for the purpose of genetic association testing.

## Usage

scanData	An object of class ScanAnnotationDataFrame from the package GWASTools, AnnotatedDataFrame, or class data.frame containing the outcome and co- variate data for the samples to be used for the analysis. scanData must have a column scanID containing unique IDs for all samples.
outcome	A character string specifying the name of the outcome variable in scanData.
covars	A vector of character strings specifying the names of the fixed effect covariates in scanData; an intercept term is automatically included. If NULL (default) the only fixed effect covariate is the intercept term.
covMatList	A list of matrices specifying the covariance structures of the random effects terms. The column and row names of each of these matrices must match the scanIDs from scanData. If only one random effect is being used, a single matrix (not in a list) can be used. See 'Details' for more information.
scan.include	A vector of scanIDs for samples to include in the analysis. If NULL, all samples in scanData are included.
family	A description of the error distribution to be used in the model. The default "gaussian" fits a linear mixed model; see family for further options, and see 'Details' for more information.
group.var	This variable can only be used when family = gaussian. A character string specifying the name of a categorical variable in scanData that is used to fit heterogeneous residual error variances. If NULL (default), then a standard LMM with constant residual variance for all samples is fit. See 'Details' for more information.
start	A vector of starting values for the variance component estimation procedure. The function will pick reasonable starting values when left NULL (default). See 'Details' for more information.

## fitNullMM

AIREML.tol	The convergence threshold for the Average Information REML (AIREML) pro- cedure used to estimate the variance components of the random effects. See 'Details' for more information.
maxIter	The maximum number of iterations allowed in the AIREML procedure.
dropZeros	Logical indicator of whether variance component terms that converge to 0 should be removed from the model; the default is TRUE. See 'Details' for more infor- mation.
verbose	Logical indicator of whether updates from the function should be printed to the console; the default is TRUE.

#### Details

covMatList is used to specify the covariance structures of the random effects terms in the model. For example, to include a polygenic random effect, one matrix in covMatList could be a kinship matrix or a genetic relationship matrix (GRM). As another example, to include household membership as a random effect, one matrix in covMatList should be a 0/1 matrix with a 1 in the [i,j] and [j,i] entries if individuals i and j are in the same household and 0 otherwise; the diagonals of such a matrix should all be 1.

When family is not gaussian, the penalized quasi-likelihood (PQL) approximation to the generalized linear mixed model (GLMM) is fit following the procedure of GMMAT (Chen et al.).

For some outcomes, there may be evidence that different groups of observations have different residual variances, and the standard LMM assumption of homoscedasticity is violated. When group.var is specified, separate (heterogeneous) residual variance components are fit for each unique value of group.var.

Let m be the number of matrices in covMatList and let g be the number of categories in the variable specified by group.var. The length of the start vector must be (m + 1) when family is gaussian and group.var is NULL; (m + g) when family is gaussian and group.var is specified; or m when family is not gaussian.

A Newton-Raphson iterative procedure with Average Information REML (AIREML) is used to estimate the variance components of the random effects. When the Euclidean distance between the new and previous variance component estimates is less than AIREML.tol, the algorithm declares convergence of the estimates. Sometimes a variance component may approach the boundary of the parameter space at 0; step-halving is used to prevent any component from becomming negative. However, when a variance component gets near the 0 boundary, the algorithm can sometimes get "stuck", preventing the other variance components from converging; if dropZeros is TRUE, then variance components that converge to a value less than AIREML.tol will be dropped from the model and the estimation procedure will continue with the remaining variance components.

#### Value

A list including:

varComp The variance component estimates. There is one variance component for each random effect specified in covMatList. When family is gaussian, there are additional residual variance components; one residual variance component when group.var is NULL, and as many residual variance components as there are unique values of group.var when it is specified.

varCompCov	The estimated covariance matrix of the variance component estimates given by varComp. This can be used for hypothesis tests regarding the variance components.
fixef	A data.frame with effect size estimates (betas), standard errors, chi-squared test statistics, and p-values for each of the fixed effect covariates specified in covars.
betaCov	The estimated covariance matrix of the effect size estimates (betas) of the fixed effect covariates. This can be used for hypothesis tests regarding the fixed effects.
fitted.values	The fitted values from the mixed model; i.e. W*beta where W is the design matrix and beta are the effect size estimates for the fixed effects.
resid.marginal	The marginal residuals from the mixed model; i.e. Y - W*beta where Y is the vector of outcome values.
eta	The linear predictor from the mixed model; i.e. $W*beta + Z*u$ where $Z*u$ specifies the effects of the random effects.
resid.condition	
	The conditional residuals from the mixed model; i.e. Y - W*beta - Z*u.
logLikR	The restricted log-likelihood value.
logLik	The log-likelihood value.
AIC	The Akaike Information Criterion value.
RSS	The residual sum of squares from the model fit. When family is gaussian, this will typically be 1 since the residual variance component is estimated separately.
workingY	The "working" outcome vector. When family is gaussian, this is just the original outcome vector. When family is not gaussian, this is the PQL linearization of the outcome vector. This is used by assocTestMM for genetic association testing. See 'Details' for more information.
model.matrix	The design matrix for the fixed effect covariates used in the model.
cholSigmaInv	The Cholesky decomposition of the inverse of the estimated outcome covariance structure. This is used by assocTestMM for genetic association testing.
scanID	A vector of scanIDs for the samples used in the analysis.
family	A character string specifying the family used in the analysis.
converged	A logical indicator of whether the AIREML procedure for estimating the ran- dom effects variance components converged.
zeroFLAG	A vector of logicals the same length as varComp specifying whether the corresponding variance component estimate was set to 0 by the function due to convergence to the boundary in the AIREML procedure.
hetResid	A logical indicator of whether heterogeneous residual variance components were used in the model (specified by group.var).

# Author(s)

Matthew P. Conomos

#### fitNullMM

#### References

Chen H, Wang C, Conomos MP, Stilp AM, Li Z, Sofer T, Szpiro AA, Chen W, Brehm JM, Celedon JC, Redline S, Papanicolaou GJ, Thornton TA, Laurie CC, Rice K and Lin X. Control for Population Structure and Relatedness for Binary Traits in Genetic Association Studies Using Logistic Mixed Models. (Submitted).

Breslow NE and Clayton DG. (1993). Approximate Inference in Generalized Linear Mixed Models. Journal of the American Statistical Association 88: 9-25.

Gilmour, A.R., Thompson, R., & Cullis, B.R. (1995). Average information REML: an efficient algorithm for variance parameter estimation in linear mixed models. Biometrics, 1440-1450.

Gogarten, S.M., Bhangale, T., Conomos, M.P., Laurie, C.A., McHugh, C.P., Painter, I., ... & Laurie, C.C. (2012). GWASTools: an R/Bioconductor package for quality control and analysis of Genome-Wide Association Studies. Bioinformatics, 28(24), 3329-3331.

## See Also

varCompCI for estimating confidence intervals for the variance components and the proportion of variability (heritability) they explain, assocTestMM for running mixed model genetic association tests using the output from fitNullMM. GWASTools for a description of the package containing the ScanAnnotationDataFrame class.

#### Examples

library(GWASTools)

```
# file path to GDS file
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")
# read in GDS data
HapMap_geno <- GdsGenotypeReader(filename = gdsfile)</pre>
# create a GenotypeData class object
HapMap_genoData <- GenotypeData(HapMap_geno)</pre>
# load saved matrix of KING-robust estimates
data("HapMap_ASW_MXL_KINGmat")
# run PC-AiR
mypcair <- pcair(genoData = HapMap_genoData, kinMat = HapMap_ASW_MXL_KINGmat,</pre>
                divMat = HapMap_ASW_MXL_KINGmat)
# run PC-Relate
mypcrel <- pcrelate(genoData = HapMap_genoData, pcMat = mypcair$vectors[,1],</pre>
     training.set = mypcair$unrels)
close(HapMap_genoData)
# generate a phenotype
set.seed(4)
pheno <- 0.2*mypcair$vectors[,1] + rnorm(mypcair$nsamp, mean = 0, sd = 1)</pre>
# make ScanAnnotationDataFrame
scanAnnot <- ScanAnnotationDataFrame(data.frame(scanID = mypcrel$sample.id,</pre>
              pc1 = mypcair$vectors[,1], pheno = pheno))
```

```
# make covMatList
covMatList <- list("Kin" = pcrelateMakeGRM(mypcrel))
# fit the null mixed model
nullmod <- fitNullMM(scanData = scanAnnot, outcome = "pheno", covars = "pc1", covMatList = covMatList)</pre>
```

fitNullReg

Fit a Regression Model Under the Null Hypothesis

# Description

fitNullReg fits a regression model. The output of fitNullReg can be passed to assocTestSeq or assocTestSeqWindow for the purpose of genetic association testing.

# Usage

# Arguments

scanData	An object of class ScanAnnotationDataFrame from the package GWASTools, AnnotatedDataFrame, or class data.frame containing the outcome and co- variate data for the samples to be used for the analysis. scanData must have a column scanID containing unique IDs for all samples.
outcome	A character string specifying the name of the outcome variable in scanData.
covars	A vector of character strings specifying the names of the fixed effect covariates in scanData; an intercept term is automatically included. If NULL (default) the only fixed effect covariate is the intercept term.
scan.include	A vector of scanIDs for samples to include in the analysis. If NULL, all samples in scanData are included.
family	A description of the error distribution to be used in the model. The default "gaussian" fits a linear model; see family for further options.
verbose	Logical indicator of whether updates from the function should be printed to the console; the default is TRUE.

## Value

A list including:

fixef	A data.frame with effect size estimates (betas), standard errors, chi-squared test statistics, and p-values for each of the fixed effect covariates specified in covars.
betaCov	The estimated covariance matrix of the effect size estimates (betas) of the fixed effect covariates. This can be used for hypothesis tests regarding the fixed effects.

resid.response The residuals from the model.

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The log-likelihood value.
The Akaike Information Criterion value.
The "working" outcome vector. When family is gaussian, this is just the original outcome vector. When family is not gaussian, this is the PQL linearization of the outcome vector. This is used by assocTestSeq for genetic association testing.
The design matrix for the fixed effect covariates used in the model.
Coefficients removed from the model.
Variance of the model.
A vector of scanIDs for the samples used in the analysis.
A character string specifying the family used in the analysis.

# Author(s)

Matthew P. Conomos

HapMap\_ASW\_MXL\_KINGmat

Matrix of Pairwise Kinship Coefficient Estimates for the combined HapMap ASW and MXL Sample found with the KING-robust estimator from the KING software.

#### Description

KING-robust kinship coefficient estimates for the combined HapMap African Americans in the Southwest U.S. (ASW) and Mexican Americans in Los Angeles (MXL) samples.

# Usage

data(HapMap\_ASW\_MXL\_KINGmat)

#### Format

The format is: num [1:173, 1:173] 0 0.00157 -0.00417 0.00209 0.00172 ...

#### Value

A matrix of pairwise kinship coefficient estimates as calculated with KING-robust for the combined HapMap African Americans in the Southwest U.S. (ASW) and Mexican Americans in Los Angeles (MXL) samples.

# Source

http://hapmap.ncbi.nlm.nih.gov/

# References

International HapMap 3 Consortium. (2010). Integrating common and rare genetic variation in diverse human populations. Nature, 467(7311), 52-58.

king2mat

Convert KING text output to an R Matrix

#### Description

king2mat is used to extract the pairwise kinship coefficient estimates or IBSO values from the output text files of KING and put them into an R object of class matrix that can be read by the functions pcair and pcairPartition.

## Usage

#### Arguments

file.kin0	File name of the .kin0 text file output from KING.
file.kin	Optional file name of the .kin text file output from KING.
iids	An optional vector of individual IDs in the same order as desired for the output matrix. See 'Details' for more information.
type	Character string taking the values "kinship" (default) or "IBS0", to inform the function to read in kinship coefficients or IBS0 values from the KING output.
verbose	A logical indicating whether or not to print status updates to the console; the default is TRUE.

#### Details

When using the function pcair, it is important that the order of individuals in the kinMat matrix matches the order of individuals in genoData. The KING software has a tendency to reorder individuals. If iids = NULL, the default is for the order to be taken from the KING output text file. By specifying iids the user can control the order of individuals in the output matrix. The IDs used for iids must be the same set of character IDs that are output as columns 'ID1' and 'ID2' in the KING output text files; all of the IDs specified in iids must be in the KING output, and all IDs in the KING output must be specified in iids.

## Value

An object of class 'matrix' with pairwise kinship coefficients or IBSO values as estimated by KING for each pair of individuals in the sample. The estimates are on both the upper and lower triangle of the matrix, and the diagonal is arbitrailly set to 0.5. Individual IDs are set as the column and row names of the matrix.

#### pcair

#### Author(s)

Matthew P. Conomos

# References

Conomos M.P., Miller M., & Thornton T. (2015). Robust Inference of Population Structure for Ancestry Prediction and Correction of Stratification in the Presence of Relatedness. Genetic Epidemiology, 39(4), 276-293.

Manichaikul, A., Mychaleckyj, J.C., Rich, S.S., Daly, K., Sale, M., & Chen, W.M. (2010). Robust relationship inference in genome-wide association studies. Bioinformatics, 26(22), 2867-2873.

# See Also

pcair and pcairPartition for functions that use the output matrix.

#### Examples

```
file.kin0 <- system.file("extdata", "MXL_ASW.kin0", package="GENESIS")
file.kin <- system.file("extdata", "MXL_ASW.kin", package="GENESIS")
KINGmat <- king2mat(file.kin0 = file.kin0, file.kin = file.kin, type="kinship")</pre>
```

pcair

PC-AiR: Principal Components Analysis in Related Samples

## Description

pcair is used to perform a Principal Components Analysis using genome-wide SNP data for the detection of population structure in a sample. Unlike a standard PCA, PC-AiR accounts for sample relatedness (known or cryptic) to provide accurate ancestry inference that is not confounded by family structure.

#### Usage

genoData	An object of class GenotypeData from the package GWASTools containing the genotype data for SNPs and samples to be used for the analysis. This object can easily be created from a matrix of SNP genotype data, PLINK files, or GDS files. Alternatively, this could be an object of class SeqVarData from the package SeqVarTools containing the genotype data for the sequencing variants and samples to be used for the analysis.
V	The number of principal components to be returned; the default is 20. If $v = NULL$ , then all the principal components are returned.
kinMat	An optional symmetric matrix of pairwise kinship coefficients for every pair of individuals in the sample (the values on the diagonal do not matter, but the upper and lower triangles must both be filled) used for partitioning the sample into the 'unrelated' and 'related' subsets. See 'Details' for how this interacts with kin.thresh and unrel.set. IDs for each individual must be set as the row and column names of the matrix.
kin.thresh	Threshold value on kinMat used for declaring each pair of individuals as related or unrelated. The default value is $2^{(-11/2)} \sim 0.022$ . See 'Details' for how this interacts with kinMat.
divMat	An optional symmetric matrix of pairwise divergence measures for every pair of individuals in the sample (the values on the diagonal do not matter, but the upper and lower triangles must both be filled) used for partitioning the sample into the 'unrelated' and 'related' subsets. See 'Details' for how this interacts with div.thresh. IDs for each individual must be set as the row and column names of the matrix.
div.thresh	Threshold value on divMat used for deciding if each pair of individuals is an- cestrally divergent. The default value is $-2^{(-11/2)} \sim -0.022$ . See 'Details' for how this interacts with divMat.
unrel.set	An optional vector of IDs for identifying individuals that are forced into the unrelated subset. See 'Details' for how this interacts with kinMat.
<pre>scan.include</pre>	A vector of IDs for samples to include in the analysis. If NULL, all samples are included.
<pre>snp.include</pre>	A vector of SNP IDs to include in the analysis. If NULL, see chromosome for further details.
chromosome	A vector of integers specifying which chromosomes to analyze. This parameter is only considred when snp.include is NULL; if chromosome is also NULL, then all SNPs are included.
<pre>snp.block.size</pre>	The number of SNPs to read-in/analyze at once. The default value is 10000.
MAF	Minor allele frequency filter; any SNPs with MAF less than this value will be excluded from the analysis; the default value is 0.01.
verbose	Logical indicator of whether updates from the function should be printed to the console; the default is TRUE.
object	An object of class 'pcair', i.e. output from the pcair function.
x	An object of class 'pcair', i.e. output from the pcair function.
	Further arguments passed to or from other methods.

#### pcair

# Details

The basic premise of PC-AiR is to partition the entire sample of individuals into an ancestry representative 'unrelated subset' and a 'related set', perform standard PCA on the 'unrelated subset', and predict PC values for the 'related subset'.

We recommend using software that accounts for population structure to estimate pairwise kinship coefficients to be used in kinMat. Any pair of individuals with a pairwise kinship greater than kin.thresh will be declared 'related.' Kinship coefficient estimates from the KING-robust software are used as measures of ancestry divergence in divMat. Any pair of individuals with a pairwise divergence measure less than div.thresh will be declared ancestrally 'divergent'. Typically, kin.thresh and div.thresh are set to be the amount of error around 0 expected in the estimate for a pair of truly unrelated individuals.

If divMat = NULL and kinMat is specified, the kinship coefficient estimates in kinMat will also be used as divergence measures in place of divMat.

It is important that the order of individuals in the matrices kinMat and divMat match the order of individuals in the genoData.

There are multiple ways to partition the sample into an ancestry representative 'unrelated subset' and a 'related subset'. If kinMat is specified and unrel.set = NULL, then the PC-AiR algorithm is used to find an 'optimal' partition (see 'References' for a paper describing the algorithm). If kinMat = NULL and unrel.set is specified, then the individuals with IDs in unrel.set are used as the 'unrelated subset'. If both kinMat and unrel.set are specified, then all individuals with IDs in unrel.set are forced in the 'unrelated subset' and the PC-AiR algorithm is used to partition the rest of the sample; this is especially useful for including reference samples of known ancestry in the 'unrelated subset'. If kinMat = NULL and unrel.set = NULL, then a standard principal components analysis that does not account for relatedness is performed.

#### Value

An object of class 'pcair'. A list including:

vectors	A matrix of the top v principal components; each column is a principal component. Sample IDs are provided as rownames.
values	A vector of eigenvalues matching the top v principal components. These values are determined from the standard PCA run on the 'unrelated subset'.
sum.values	The sum of all the eigenvalues from the standard PCA run on the 'unrelated subset' (regardless of how many were returned).
rels	A vector of IDs for individuals in the 'related subset'.
unrels	A vector of IDs for individuals in the 'unrelated subset'.
kin.thresh	The threshold value used for declaring each pair of individuals as related or unrelated.
div.thresh	The threshold value used for determining if each pair of individuals is ancestrally divergent.
nsamp	The total number of samples in the analysis.
nsnps	The total number of SNPs used in the analysis, after filtering on MAF.
MAF	The minor allele frequency (MAF) filter used on SNPs.

pcair

call	The function call passed to pcair.
method	A character string. Either "PC-AiR" or "Standard PCA" identifying which method was used for computing principal components.

#### Note

The GenotypeData function in the GWASTools package should be used to create the input genoData. Input to the GenotypeData function can easily be created from an R matrix or GDS file. PLINK .bed, .bim, and .fam files can easily be converted to a GDS file with the function snpgdsBED2GDS in the SNPRelate package. Alternatively, the SeqVarData function in the SeqVarTools package can be used to create the input genodata when working with sequencing data.

#### Author(s)

Matthew P. Conomos

#### References

Conomos M.P., Miller M., & Thornton T. (2015). Robust Inference of Population Structure for Ancestry Prediction and Correction of Stratification in the Presence of Relatedness. Genetic Epidemiology, 39(4), 276-293.

Gogarten, S.M., Bhangale, T., Conomos, M.P., Laurie, C.A., McHugh, C.P., Painter, I., ... & Laurie, C.C. (2012). GWASTools: an R/Bioconductor package for quality control and analysis of Genome-Wide Association Studies. Bioinformatics, 28(24), 3329-3331.

Manichaikul, A., Mychaleckyj, J.C., Rich, S.S., Daly, K., Sale, M., & Chen, W.M. (2010). Robust relationship inference in genome-wide association studies. Bioinformatics, 26(22), 2867-2873.

#### See Also

pcairPartition for a description of the function used by pcair that can be used to partition the sample into 'unrelated' and 'related' subsets without performing PCA. plot.pcair for plotting. king2mat for creating a matrix of pairwise kinship coefficient estimates from KING output text files that can be used for kinMat or divMat. GWASTools for a description of the package containing the following functions: GenotypeData for a description of creating a GenotypeData class object for storing sample and SNP genotype data, MatrixGenotypeReader for a description of reading in genotype data stored as a matrix, and GdsGenotypeReader for a description of reading in genotype data stored as a GDS file. Also see snpgdsBED2GDS in the SNPRelate package for a description of converting binary PLINK files to GDS. The generic functions summary and print.

## Examples

```
library(GWASTools)
```

```
# file path to GDS file
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")
# read in GDS data
HapMap_geno <- GdsGenotypeReader(filename = gdsfile)
# create a GenotypeData class object
HapMap_genoData <- GenotypeData(HapMap_geno)</pre>
```

# pcairPartition

```
pcairPartition
```

Partition a sample into an ancestry representative 'unrelated subset' and a 'related subset'

# Description

pcairPartition is used to partition a sample from a genetic study into an ancestry representative 'unrelated subset' and a 'related subset'. The 'unrelated subset' contains individuals who are all mutually unrelated to each other and representative of the ancestries of all individuals in the sample, and the 'related subset' contains individuals who are related to someone in the 'unrealted subset'.

# Usage

kinMat	A symmetric matrix of pairwise kinship coefficients for every pair of individuals in the sample (the values on the diagonal do not matter, but the upper and lower triangles must both be filled) used for partitioning the sample into the 'unrelated' and 'related' subsets. See 'Details' for how this interacts with kin.thresh and unrel.set. IDs for each individual must be set as the row and column names of the matrix.
kin.thresh	Threshold value on kinMat used for declaring each pair of individuals as related or unrelated. The default value is 0.025. See 'Details' for how this interacts with kinMat.
divMat	A symmetric matrix of pairwise ancestry divergence measures for every pair of individuals in the sample (the values on the diagonal do not matter, but the upper and lower triangles must both be filled) used for partitioning the sample into the 'unrelated' and 'related' subsets. See 'Details' for how this interacts with $div.thresh$ . IDs for each individual must be set as the row and column names of the matrix.
div.thresh	Threshold value on divMat used for deciding if each pair of individuals is ances- trally divergent. The default value is -0.025. See 'Details' for how this interacts with divMat.
unrel.set	An optional vector of IDs for identifying individuals that are forced into the unrelated subset. See 'Details' for how this interacts with kinMat.

## Details

We recommend using software that accounts for population structure to estimate pairwise kinship coefficients to be used in kinMat. Any pair of individuals with a pairwise kinship greater than kin.thresh will be declared 'related.' Kinship coefficient estimates from the KING-robust software are typically used as measures of ancestry divergence in divMat. Any pair of individuals with a pairwise divergence measure less than div.thresh will be declared ancestrally 'divergent'. Typically, kin.thresh and div.thresh are set to be the amount of error around 0 expected in the estimate for a pair of truly unrelated individuals. If unrel.set = NULL, the PC-AiR algorithm is used to find an 'optimal' partition (see 'References' for a paper describing the algorithm). If unrel.set and kinMat are both specified, then all individuals with IDs in unrel.set are forced in the 'unrelated subset' and the PC-AiR algorithm is used to partition the rest of the sample; this is especially useful for including reference samples of known ancestry in the 'unrelated subset'.

# Value

A list including:

rels	A vector of IDs for individuals in the 'related subset'.
unrels	A vector of IDs for individuals in the 'unrelated subset'.

#### Note

pcairPartition is called internally in the function pcair but may also be used on its own to partition the sample into an ancestry representative 'unrelated' subset and a 'related' subset without performing PCA.

# Author(s)

Matthew P. Conomos

#### References

Conomos M.P., Miller M., & Thornton T. (2015). Robust Inference of Population Structure for Ancestry Prediction and Correction of Stratification in the Presence of Relatedness. Genetic Epidemiology, 39(4), 276-293.

Manichaikul, A., Mychaleckyj, J.C., Rich, S.S., Daly, K., Sale, M., & Chen, W.M. (2010). Robust relationship inference in genome-wide association studies. Bioinformatics, 26(22), 2867-2873.

#### See Also

pcair which uses this function for finding principal components in the presence of related individuals. king2mat for creating a matrix of kinship coefficent estimates or pairwise ancestry divergence measures from KING output text files that can be used as kinMat or divMat.

# Examples

# load saved matrix of KING-robust estimates
data("HapMap\_ASW\_MXL\_KINGmat")
# partition the sample

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```
part <- pcairPartition(kinMat = HapMap_ASW_MXL_KINGmat,
divMat = HapMap_ASW_MXL_KINGmat)
```

```
pcrelate
```

PC-Relate: Model-Free Estimation of Recent Genetic Relatedness

## Description

pcrelate is used to estimate kinship coefficients, IBD sharing probabilities, and inbreeding coefficients using genome-wide SNP data. PC-Relate accounts for population structure (ancestry) among sample individuals through the use of ancestry representative principal components (PCs) to provide accurate relatedness estimates due only to recent family (pedigree) structure.

# Usage

genoData	An object of class GenotypeData from the package GWASTools containing the genotype data for SNPs and samples to be used for the analysis. This object can easily be created from a matrix of SNP genotype data, PLINK files, or GDS files. Alternatively, this could be an object of class SeqVarData from the package SeqVarTools containing the genotype data for the sequencing variants and samples to be used for the analysis.
pcMat	An optional matrix of principal components (PCs) to be used for ancestry ad- justment. Each column represents a PC, and each row represents an individual. IDs for each individual must be set as the row names of the matrix.
freq.type	A character string taking the values 'individual' or 'population' indicating whether genotype values should be adjusted by individual-specific allele frequencies or population average allele frequencies. This should be set to 'individual' (the default) in order to do a PC-Relate analysis; see 'Details' for more information.
scale	A character string taking the values 'overall', 'variant', or 'none' indicating how genotype values should be standardized. This should be set to 'overall' (the default) in order to do a PC-Relate analysis; see 'Details' for more information.
ibd.probs	Logical indicator of whether pairwise IBD sharing probabilities (k0, k1, k2) should be estimated; the default is TRUE.
scan.include	A vector of IDs for samples to include in the analysis. If NULL, all samples in genoData are included.
training.set	An optional vector of IDs identifying which samples to use for estimation of the ancestry effect when estimating individual-specific allele frequencies. If NULL, all samples in scan.include are used. See 'Details' for more information.

scan.block.size		
	The number of individuals to read-in/analyze at once; the default value is 5000. See 'Details' for more information.	
<pre>snp.include</pre>	A vector of SNP IDs to include in the analysis. If NULL, see chromosome for further details.	
chromosome	A vector of integers specifying which chromosomes to analyze. This parameter is only considred when snp.include is NULL; if chromosome is also NULL, then all SNPs are included.	
<pre>snp.block.size</pre>	The number of SNPs to read-in/analyze at once. The default value is 10000.	
MAF	Minor allele frequency filter. When freq.type is 'individual', if an individual's estimated individual-specific minor allele frequency at a SNP is less than this value, that SNP will be excluded from the analysis for that individual. When freq.type is 'population', any SNP with a population minor allele frequency less than this value will be excluded from the analysis. The default value is 0.01.	
write.to.gds	Logical indicator of whether the output should be written to GDS files. If FALSE (the default), then the output is returned to the R console as expected. See 'Details' for more information.	
gds.prefix	File path specifying where to save the output when write.to.gds = TRUE. If NULL, the prefix 'tmp' is used. See 'Details' for more information.	
correct	Logical indicator of whether to implement a small sample correction.	
verbose	Logical indicator of whether updates from the function should be printed to the console; the default is TRUE.	

# Details

The basic premise of PC-Relate is to estimate kinship coefficients, IBD sharing probabilities, and inbreeding coefficients that reflect recent family (pedigree) relatedness by conditioning out genetic similarity due to distant population structure (ancestry) with ancestry representative principal components (PCs).

It is important that the PCs used in pcMat to adjust for ancestry are representative of ancestry and NOT family structure, so we recommend using PCs calculated with PC-AiR.

It is important that the order of individuals in the matrix pcMat matches the order of individuals in genoData.

In order to perform relatedness estimation, allele frequency estimates are required for centering and scaling genotype values. When freq.type is 'individual', individual-specific allele frequencies calculated for each individual at each SNP using the PCs specified in pcMat are used. When freq.type is 'population', population average allele frequencies calculated at each SNP are used for all individuals. (Note that when freq.type is set to 'population' there is no ancestry adjustment and the relatedness estimates will be confounded with population structure (ancestry)). There are muliple choices for how genotype values are scaled. When scale is 'variant', centered genotype values at each SNP are divided by their expected variance under Hardy-Weinberg equilibrium. When scale is 'overall', centered genotype values at all SNPs are divided by the average across all SNPs of their expected variances under Hardy-Weinberg equilibrium; this scaling leads to more stable behavior when using low frequency variants. When scale is 'none', genotype values are only centered and not scaled; this won't provide accurate kinship coefficient estimates but may be useful for other purposes. At a particular SNP, the variance used for scaling is either calculated separately

#### pcrelate

for each individual using their individual-specific allele frequncies (when freq.type is 'individual') or once for all individuals using the population average allele frequency (when freq.type is 'population'). Set freq.type to 'individual' and scale to 'overall' to perform a standard PC-Relate analysis; these are the defaults. If freq.type is set to 'individual' and scale is set to 'variant', the estimators are very similar to REAP. If freq.type is set to 'population' and scale is set to 'variant', the estimators are very similar to EIGENSOFT.

The optional input training.set allows the user to specify which samples are used to estimate the ancestry effect when estimating individual-specific allele frequencies (if freq.type is 'individual') or to estimate the population allele frequency (if freq.type is 'population'. Ideally, training.set is a set of mutually unrelated individuals. If prior information regarding pedigree structure is available, this can be used to select training.set, or if pcair was used to obtain the PCs, then the individuals in the PC-AiR 'unrelated subset' can be used. If no prior information is available, all individuals should be used.

The scan.block.size can be specified to alleviate memory issues when working with very large data sets. If scan.block.size is smaller than the number of individuals included in the analysis, then individuals will be analyzed in separate blocks. This reduces the memory required for the analysis, but genotype data must be read in multiple times for each block (to analyze all pairs), which increases the number of computations required. NOTE: if individuals are broken up into more than 1 block, write.to.gds must be TRUE (see below).

If write.to.gds = TRUE, then the output is written to two GDS files rather than returned to the R console. Use of this option requires the gdsfmt package. The first GDS file, named "<gds.prefix>\_freq.gds", contains the individual-specific allele frequency estimates for each individual at each SNP (when freq.type is 'individual') or the population allele frequency estimates at each SNP (when freq.type is 'population'. The second GDS file, named "<gds.prefix>\_pcrelate.gds", contains the PC-Relate output as described in Value below.

#### Value

An object of class 'pcrelate'. A list including:

sample.id	A vector of IDs for samples included in the analysis.
kinship	A matrix of estimated pairwise kinship coefficients. The order of samples matches sample.id.
ibd.probs	A matrix of estimated pairwise IBD sharing probabilities; the lower triangle gives k0 (the probability of sharing 0 alleles IBD), the upper triangle gives k2 (the probability of sharing 2 alleles IBD), and the diagonal is missing. The order of samples matches sample.id. This matrix is returned only if ibd.probs = TRUE in the input.
nsnp	A matrix specifying the the number of SNPs used to estimate the relatedness measures for each pair of individuals. The order of samples matches sample.id.
kincorrect	A vector specifying the correction factors used for the small sample correction, or NULL.
k2correct	A vector specifying the correction factors used for the small sample correction, or NULL.
call	The function call passed to pcrelate.

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methodA character string. Either 'PC-Relate' or 'Unadjusted' identifying which method<br/>was used for computing relatedness estimates. 'Unadjusted' is used when pcMat = NULL<br/>and corresponds to an assumption of population homogeneity.

#### Note

The GenotypeData function in the GWASTools package should be used to create the input genoData. Input to the GenotypeData function can easily be created from an R matrix or GDS file. PLINK .bed, .bim, and .fam files can easily be converted to a GDS file with the function snpgdsBED2GDS in the SNPRelate package. Alternatively, the SeqVarData function in the SeqVarTools package can be used to create the input genodata when working with sequencing data.

#### Author(s)

Matthew P. Conomos

#### References

Conomos M.P., Reiner A.P., Weir B.S., & Thornton T.A. (2016). Model-free Estimation of Recent Genetic Relatedness. American Journal of Human Genetics, 98(1), 127-148.

Gogarten, S.M., Bhangale, T., Conomos, M.P., Laurie, C.A., McHugh, C.P., Painter, I., ... & Laurie, C.C. (2012). GWASTools: an R/Bioconductor package for quality control and analysis of Genome-Wide Association Studies. Bioinformatics, 28(24), 3329-3331.

#### See Also

pcrelateReadKinship, pcrelateReadInbreed, and pcrelateMakeGRM for functions that can be used to read in the results output by pcrelate. GWASTools for a description of the package containing the following functions: GenotypeData for a description of creating a GenotypeData class object for storing sample and SNP genotype data, MatrixGenotypeReader for a description of reading in genotype data stored as a matrix, and GdsGenotypeReader for a description of reading in genotype data stored as a GDS file. Also see snpgdsBED2GDS in the SNPRelate package for a description of converting binary PLINK files to GDS.

#### Examples

library(GWASTools)

```
mypcrel <- pcrelate(genoData = HapMap_genoData, pcMat = mypcair$vectors[,1],
training.set = mypcair$unrels)
close(HapMap_genoData)
```

pcrelateMakeGRM	Creates a Genetic Relationship Matrix (GRM) of Pairwise Kinship Co-
	efficient Estimates from PC-Relate Output

# Description

pcrelateMakeGRM is used to create a genetic relationship matrix (GRM) of pairwise kinship coefficient estimates from the output of pcrelate.

#### Usage

```
pcrelateMakeGRM(pcrelObj, scan.include = NULL, scaleKin = 2)
```

#### Arguments

pcrel0bj	The object containing the output from pcrelate. This could be a list of class pcrelate or an object of class gds.class read into R using the function openfn.gds from the gdsfmt package.
scan.include	A vector of IDs for samples to be included in the GRM. The default is NULL, which includes all samples in pcrel0bj.
scaleKin	Specifies a numeric constant to scale each estimated kinship coefficient by in the GRM. The default value is 2.

# Details

This function provides a quick and easy way to construct a genetic relationship matrix (GRM) from the output of pcrelate.

#### Author(s)

Matthew P. Conomos

#### References

Conomos M.P., Reiner A.P., Weir B.S., & Thornton T.A. (2016). Model-free Estimation of Recent Genetic Relatedness. American Journal of Human Genetics, 98(1), 127-148.

## See Also

pcrelate for the function that performs PC-Relate. pcrelateReadKinship for the function that creates a table of pairwise kinship coefficient and IBD sharing probabilities from the same PC-Relate output file. pcrelateReadInbreed for the function that creates a table of inbreeding coefficient estimates from the same PC-Relate output file.

pcrelateReadInbreed Create a Table of Inbreeding Coefficient Estimates from PC-Relate Output

# Description

pcrelateReadInbreed is used to create a table of inbreeding coefficient estimates from the output of pcrelate.

## Usage

```
pcrelateReadInbreed(pcrel0bj, scan.include = NULL, f.thresh = NULL)
```

#### Arguments

pcrel0bj	The object containing the output from pcrelate. This could be a list of class pcrelate or an object of class gds.class read into R using the function openfn.gds from the gdsfmt package.
scan.include	A vector of IDs for samples to be included in the table. The default is NULL, which includes all samples in pcrel0bj.
f.thresh	Specifies a minimum value of the estimated inbreeding coefficient to include in the table; i.e. only individuals with an estimated inbreeding coefficient greater than f.thresh will be included in the table. The default is NULL, which includes all individuals.

# Details

This function provides an easy way to make a table of estimated inbreeding coefficients.

# Author(s)

Matthew P. Conomos

#### References

Conomos M.P., Reiner A.P., Weir B.S., & Thornton T.A. (2016). Model-free Estimation of Recent Genetic Relatedness. American Journal of Human Genetics, 98(1), 127-148.

#### See Also

pcrelate for the function that performs PC-Relate. pcrelateReadKinship for the function that creates a table of pairwise kinship coefficient and IBD sharing probabilities from the same PC-Relate output file. pcrelateMakeGRM for the function that creates a genetic relationship matrix (GRM) of pairwise kinship coefficient estimates from the same PC-Relate output file.

pcrelateReadKinship Create a Table of Pairwise Kinship Coefficient and IBD Sharing Probability Estimates from PC-Relate Output

#### Description

pcrelateReadKinship is used to create a table of pairwise kinship coefficient and IBD sharing probability (k0, k1, k2) estimates from the output of pcrelate.

# Usage

#### Arguments

pcrel0bj	The object containing the output from pcrelate. This could be a list of class pcrelate or an object of class gds.class read into R using the function openfn.gds from the gdsfmt package.
<pre>scan.include</pre>	A vector of IDs for samples to be included in the table. The default is NULL, which includes all samples in pcrel0bj.
ibd.probs	Logical indicator of whether or not the output in pcrel0bj has estimates of IBD sharing probabilities.
kin.thresh	Specifies a minimum value of the estimated kinship coefficient to include in the table; i.e. only pairs with an estimated kinship coefficient greater than kin.thresh will be included in the table. The default is NULL, which includes all pairs.

# Details

This function provides an easy way to make a table of pairwise relatedness estimates.

# Author(s)

Matthew P. Conomos

# References

Conomos M.P., Reiner A.P., Weir B.S., & Thornton T.A. (2016). Model-free Estimation of Recent Genetic Relatedness. American Journal of Human Genetics, 98(1), 127-148.

#### See Also

pcrelate for the function that performs PC-Relate. pcrelateReadInbreed for the function that creates a table of inbreeding coefficient estimates from the same PC-Relate output file. pcrelateMakeGRM for the function that creates a genetic relationship matrix (GRM) of pairwise kinship coefficient estimates from the same PC-Relate output file.

plot.pcair

# Description

plot.pcair is used to plot pairs of principal components contained in a class 'pcair' object obtained as output from the pcair function.

## Usage

#### Arguments

x	An object of class 'pcair' obtained as output from the pcair function.
vx	An integer indicating which principal component to plot on the x-axis; the default is 1.
vy	An integer indicating which principal component to plot on the y-axis; the default is 2.
pch	Either an integer specifying a symbol or a single character to be used in plotting points. If NULL, the default is dots for the 'unrelated subset' and + for the 'related subset'.
col	A specification for the plotting color for points. If NULL, the default is black for the 'unrelated subset' and blue for the 'related subset'.
xlim	The range of values shown on the x-axis. If NULL, the default shows all points.
ylim	The range of values shown on the y-axis. If NULL, the default shows all points.
main	An overall title for the plot. If NULL, the default specifies which PC-AiR PCs are plotted.
sub	A sub title for the plot. If NULL, the default is none.
xlab	A title for the x-axis. If NULL, the default specifies which PC-AiR PC is plotted.
ylab	A title for the y-axis. If NULL, the default specifies which PC-AiR PC is plotted.
	Other parameters to be passed through to plotting functions, (see par).

# Details

This function provides a quick and easy way to plot principal components obtained with the function pcair to visualize the population structure captured by PC-AiR.

# Value

A figure showing the selected principal components plotted against each other.

#### varCompCI

# Author(s)

Matthew P. Conomos

#### See Also

pcair for obtaining principal components that capture population structure in the presence of relatedness. par for more in depth descriptions of plotting parameters. The generic function plot.

# Examples

library(GWASTools)

```
# file path to GDS file
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")</pre>
# read in GDS data
HapMap_geno <- GdsGenotypeReader(filename = gdsfile)</pre>
# create a GenotypeData class object
HapMap_genoData <- GenotypeData(HapMap_geno)</pre>
# load saved matrix of KING-robust estimates
data("HapMap_ASW_MXL_KINGmat")
# run PC-AiR
mypcair <- pcair(genoData = HapMap_genoData, kinMat = HapMap_ASW_MXL_KINGmat,</pre>
                 divMat = HapMap_ASW_MXL_KINGmat)
# plot top 2 PCs
plot(mypcair)
# plot PCs 3 and 4
plot(mypcair, vx = 3, vy = 4)
close(HapMap_genoData)
```

varCompCI

Variance Component Confidence Intervals

#### Description

varCompCI provides confidence intervals for the variance component estimates found using fitNullMM. The confidence intervals can be found on either the original scale or for the proportion of total variability explained.

## Usage

```
varCompCI(nullMMobj, prop = TRUE)
```

nullMMobj	A null model object returned by fitNullMM.
prop	A logical indicator of whether the point estimates and confidence intervals should be returned as the proportion of total variability explained (TRUE) or on the orginal scale (FALSE).

#### Details

varCompCI takes the object returned by fitNullMM as its input and returns point estimates and confidence intervals for each of the random effects variance component estimates. If a kinship matrix or genetic relationship matrix (GRM) was included as a random effect in the model fit using fitNullMM, then this function can be used to provide a heritability estimate when prop is TRUE.

# Value

varCompCI prints a table of point estimates and 95% confidence interval limits for each estimated variance component.

#### Author(s)

Matthew P. Conomos

## See Also

fitNullMM for fitting the mixed model and performing the variance component estimation.

#### Examples

library(GWASTools)

```
# file path to GDS file
gdsfile <- system.file("extdata", "HapMap_ASW_MXL_geno.gds", package="GENESIS")</pre>
# read in GDS data
HapMap_geno <- GdsGenotypeReader(filename = gdsfile)</pre>
# create a GenotypeData class object
HapMap_genoData <- GenotypeData(HapMap_geno)</pre>
# load saved matrix of KING-robust estimates
data("HapMap_ASW_MXL_KINGmat")
# run PC-AiR
mypcair <- pcair(genoData = HapMap_genoData, kinMat = HapMap_ASW_MXL_KINGmat,</pre>
                 divMat = HapMap_ASW_MXL_KINGmat)
# run PC-Relate
mypcrel <- pcrelate(genoData = HapMap_genoData, pcMat = mypcair$vectors[,1],</pre>
         training.set = mypcair$unrels)
close(HapMap_genoData)
# generate a phenotype
set.seed(4)
pheno <- 0.2*mypcair$vectors[,1] + rnorm(mypcair$nsamp, mean = 0, sd = 1)</pre>
# make ScanAnnotationDataFrame
scanAnnot <- ScanAnnotationDataFrame(data.frame(scanID = mypcrel$sample.id,</pre>
              pc1 = mypcair$vectors[,1], pheno = pheno))
# make covMatList
covMatList <- list("Kin" = pcrelateMakeGRM(mypcrel))</pre>
```

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# varCompCI

```
# fit the null mixed model
nullmod <- fitNullMM(scanData = scanAnnot, outcome = "pheno", covars = "pc1", covMatList = covMatList)
# find the variance component CIs
varCompCI(nullmod, prop = TRUE)
varCompCI(nullmod, prop = FALSE)</pre>
```

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