# ABSSeq: a new RNA-Seq analysis method based on modelling absolute expression differences

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November 1, 2022

## 1 Introduction

This vignette is intended to give a brief introduction of the ABSSeq R package by analyzing the simulated data from Soneson et al. [4]. For details about the approach, consult Yang [3]. Currently, ABSSeq integrates two modules: basic model for pairwise comparison and linear model for complex design.

RNA-Seq quantifies gene expression with reads count, which usually consists of conditions (or treatments) and several replicates for each condition. The expected expression of each gene is estimated from number of read count, proportional to the expectation value of the true concentration of count. As a result, a normalization method need to be apply on the original counts. The normalized counts usually have enormous variation across genes and compared conditions. The reliable identification of differential expression (DE) genes from such data requires a probabilistic model to account for ambiguity caused by sample size, biological and technical variations, levels of expression and outliers.

ABSSeq infers differential expression directly by the counts difference between conditions. It assumes that the sum counts difference between conditions follow a Negative binomial distribution with mean  $\mathtt{mu}$  (proportional to expression level) and dispersion factor  $\mathtt{r}$  (size). The  $\mathtt{mu}$  and  $\mathtt{r}$  is determined by variation in the experiment, i.e., biological variation, sequencing and mapping biases. Typically, the number of replicates in a study is small and not enough to reveal all variation. To overcome this problem, a common solution is to borrow information across genes. Here, we use local regression to smooth dispersion across genes. The smoothed dispersions are then used to produce pseudocounts in the  $\mathtt{mu}$  estimation to accounts for dynamic dispersions across expression levels, which in turn moderates the fold-change.

ABSSeq tests counts difference directly against a baseline estimated from the data set (mu), and therefore reports p-values related to magnitude of difference (fold-change). In addition, ABSSeq moderates the fold-changes by two steps: the expression level and gene-specific dispersion, that might facilitate the gene ranking by fold-change and visualization (Heatmap). New alternative approach (named aFold) was introduced, which calls DE genes via log fold-change(see second Section for example). aFold uses a polynomial function to model the uncertainty (or variance) of read count, and thus takes into consideration the variance of expression levels across treatments and genes. aFold produces accurate estimation of fold changes. In combination with the linear model from

limma [2], aFold is capable to analyze data set with complex experimental design (see last Section for example).

# 2 Pairwise study

We firstly import the ABSSeq package.

```
> library(ABSSeq)
```

Then, we load a simulated data set. It is a list object and contains three elements: the counts matrix, denoted by 'counts', the groups, denoted by 'groups' and differential expression genes, denoted by 'DEs'.

```
> data(simuN5)
> names(simuN5)

[1] "counts" "groups" "DEs"
```

simuN5 is simulated from Negative binomial distribution with means and variances from Pickrell's data [5] and added outliers randomly [4]. simuN5 includes group information.

```
> simuN5$groups
```

```
[1] 0 0 0 0 0 1 1 1 1 1
```

An ABSDataSet object is required for ABSSeqand could be constructed with the ABSDataSet function by providing counts matrix and defined groups. Here, we can also initiate a paired comparison for specific samples, such as data for cancer and normal tissue from same individuals, by setting the paired parameter in ABSDataSet object.

```
> obj <- ABSDataSet(simuN5$counts, factor(simuN5$groups))
> pairedobj <- ABSDataSet(simuN5$counts, factor(simuN5$groups),paired=TRUE)</pre>
```

The ABSDataSet function also includes the parameter for the normalization method, which has a default as qtotal. qtotal assesses the influence of DE on data structure to normalize the data. Additional choices of normalization methods are also provided, that are, total by total reads count, geometric from DESeq [6], quantile by reads count in the first three quartiles from baySeq [?], TMM from edgeR [1] and user through size factors provided by users. The normalization method can be showed and revised by normMethod.

#### [1] "geometric"

The size factors could be estimated from an ABSDataSet object via the function normalFactors and retrieved by the function sFactors.

```
> obj <- normalFactors(obj)
> sFactors(obj)

[1] 1.2701723 1.1188592 0.6950348 1.1697439 1.1474554 0.9574766 0.9201882
[8] 1.1359601 0.8054707 0.7796388
```

Reads count after normalization could be retrieved by the function counts.

### > head(counts(obj,norm=TRUE))

```
Γ.17
                    [,2]
                                [,3]
                                            Γ,47
                                                         [,5]
                                                                      [,6]
    58.25981
               14.300280
                            48.91842
                                        0.854888
                                                     1.742987
                                                                 51.17618
1
2 1451.77156 967.950211 1256.05230 2067.119167 2926.475379
                                                               6327.04741
3 2662.63092 2245.143980 2474.69640 1502.038204 1938.201680
                                                               3469.53640
    25.19343
                9.831443
                            12.94899
                                       17.952648
                                                    18.301365
                                                                 17.75500
 1491.13630 3674.278225 3630.03432 2285.970493 5126.125128 12830.60043
5
  847.12917
             832.097550 546.73525 130.797863 1342.100084
                                                               1438.15521
                                     [,9]
          [,7]
                        [,8]
                                                 [,10]
      6.520405
                   0.000000
                                 7.449061
                                              35.91407
1
2 57776.223615
                4703.510143 12370.407060 12392.91781
3
  3163.483249
                3104.862488 30017.232227 43420.10493
    190.178485
                   4.401563
                                24.830203
                                              61.56697
  8308.082941 18284.092397 15852.843010 17635.08870
5
    949.805689
                1277.333561
                             1443.876296
                                           1602.02384
```

With the size factors, we can calculate the absolute counts difference between conditions, mean (mu), size factor (r) and moderate log2 of fold-change for each gene. It can be done by function callParameter as

#### > obj=callParameter(obj)

If we want to see correlation between the absolute log2 fold-change (with or without moderation) and expression level in same conditions, we can use function plotDifftoBase.

```
> obj <- callDEs(obj)
> plotDifftoBase(obj)
```

In the end, we model the counts differences with Negative binomial distribution and calculate the pvalue for each gene. DE calling is performed by the function callDEs, which reports pvalues as well as adjusted pvalues, that can be accessed by results with names of pvalue and adj.pvalue. Noticely, this function also provides fold-change moderation according to gene-specific dispersion by utilizing aFold, which will report fold-changes closer to gene's dispersion. In the end, ABSSeq produces three kinds fold-changes: the original (denoted by 'rawFC'), corrected by expression level (denoted by 'lowFC') and moderated by expression level and gene-specific dispersion (denoted by 'foldChange'), which are stored in the ABSDataSet object and could be also retrieved by results.

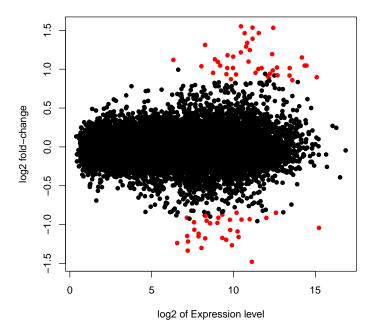


Figure 1: 'Absolute log2 fold-change against expression level'-plot for count data. We show the fitted and raw data with different colors.

```
> obj <- callDEs(obj)</pre>
> head(results(obj,c("rawFC","lowFC","foldChange","pvalue","adj.pvalue")))
      rawFC
                  lowFC foldChange
                                          pvalue adj.pvalue
1 -0.1824058 -0.1030012 -0.04125768 7.682173e-01 1.00000000
  2.9174817
             2.6816216  0.36224008  4.230884e-02  1.00000000
             0.8968301
                         0.50537531 2.661240e-02 0.86631330
  2.0016381
             0.6140338
                         0.21658502 1.460386e-01 1.00000000
  0.8868678
  2.2377865
                         1.02150694 4.989514e-05 0.01516204
             2.1213283
  1.1772042 0.9992554 0.43023062 6.939515e-02 1.00000000
```

The results function can be used to access all information in an ABSDataSet.

## > head(results(obj))

```
Bmean
                         baseMean
                                             Variance
                                                                      lowFC
      Amean
  3.562610
             3.380204
                         62.16317
                                     23 1.220177e+03 -0.1824058 -0.1030012
2 10.650049 13.567531 23642.68938 84901 4.895532e+08
                                                       2.9174817
                                                                  2.6816216
3 11.052189 13.053827
                       5514.05983 10509 2.181432e+06
                                                       2.0016381
                                                                  0.8968301
                                    215 5.799681e+03
  4.093067
             4.979935
                        115.68473
                                                       0.8868678
                                                                  0.6140338
5 11.539225 13.777011 18473.15191 56703 1.873455e+07
                                                       2.2377865
                                                                  2.1213283
6 9.192270 10.369474 1796.40395 3012 2.590491e+05 1.1772042
                                                                  0.9992554
```

```
foldChange pvalue adj.pvalue trimmed
1 -0.04125768 7.682173e-01 1.00000000 0
2 0.36224008 4.230884e-02 1.00000000 0
3 0.50537531 2.661240e-02 0.86631330 2
4 0.21658502 1.460386e-01 1.00000000 0
5 1.02150694 4.989514e-05 0.01516204 0
6 0.43023062 6.939515e-02 1.00000000 0
```

In addition to a step-by-step analysis in above, DE calling could be simply performed by the function ABSSeq, which runs a default analysis by calling above functions in order and returns a ABSDataSet object with all information.

Moreover, ABSSeq also allows testing on user-defined baseline for counts difference by giving a same value to minRates and maxRates as

```
> data(simuN5)
> obj <- ABSDataSet(simuN5$counts, factor(simuN5$groups),minRates=0.2, maxRates=0.2)
> #or by slot functions
> #minRates(obj) <- 0.2
> #maxRates(obj) <- 0.2
> obj <- ABSSeq(obj)</pre>
> res <- results(obj,c("Amean","Bmean","foldChange","pvalue","adj.pvalue"))</pre>
> head(res)
      Amean
                Bmean foldChange
                                        pvalue
                                                  adj.pvalue
1 3.562610 3.380204 -0.04125768 7.038358e-01 1.0000000000
2 10.650049 13.567531 0.36224008 2.192792e-02 0.4006852443
3 11.052189 13.053827 0.50537531 4.337366e-03 0.1594078122
4 4.093067 4.979935 0.21658502 8.852225e-02 0.6238114781
5 11.539225 13.777011 1.02150694 7.752227e-07 0.0002099674
```

ABSSeq penalizes the dispersion estimation by adding a common dispersion value to the observed dispersion for each gene, which is obtained by quantile estimation on observed dispersions. This penalized dispersion could be provided by user as

6 9.192270 10.369474 0.43023062 1.754797e-02 0.3604790716

```
> data(simuN5)
> obj <- ABSDataSet(simuN5$counts, factor(simuN5$groups),minDispersion=0.1)</pre>
> #or by slot functions
> #minimalDispersion(obj) <- 0.2</pre>
> obj <- ABSSeq(obj)</pre>
> res <- results(obj,c("Amean","Bmean","foldChange","pvalue","adj.pvalue"))</pre>
> head(res)
                Bmean foldChange
      Amean
                                       pvalue adj.pvalue
  3.562610 3.380204 -0.04125768 0.75314495 1.0000000
2 10.650049 13.567531 0.36224008 0.04397104 1.0000000
3 11.052189 13.053827 0.50537531 0.04324281 1.0000000
4 4.093067 4.979935 0.21658502 0.14923425
                                               1.0000000
5 11.539225 13.777011 1.02150694 0.00043097
                                               0.1732082
  9.192270 10.369474 0.43023062 0.09085401
                                               1.0000000
```

In addition, ABSSeq provides special parameter estimation for data set without replicates. It firstly treats the two groups as replicates and separates genes into two sets according to fold-change cutoff (depends on expression level). The set with fold-change under cutoff is used to estimate the dispersion for each gene by local regression as well as fold-change moderation. Here is the example, which replaces the callParameter by callParameterwithoutReplicates.

```
> data(simuN5)
> obj <- ABSDataSet(simuN5$counts[,c(1,2)], factor(c(1,2)))
> obj <- ABSSeq(obj)
> res <- results(obj,c("Amean","Bmean","foldChange","pvalue","adj.pvalue"))
> head(res)

Amean Bmean foldChange pvalue adj.pvalue
1 6.141438 4.176475 -1.30085645 0.003116490 0.01707491
2 10.760856 10.176462 -0.56268049 0.153013673 0.35063405
3 11.635516 11.389556 -0.23985467 0.845037624 1.00000000
4 4.958562 3.671725 -0.73879630 0.089056224 0.23482571
5 10.799432 12.100000 1.25952601 0.001112932 0.00740706
6 9.984289 9.958485 -0.02476103 0.999999092 1.00000000
```

# 3 Detecting DE via aFold

Recently, ABSSeq integrates a new method for DE detection: aFold. aFold utilizes a polynormial function to model the uncertainty of observed reads count and moderate the fold change calculation. aFold takes into account variations among samples and genes and reports DE and fold changes in a reliable way. The fold changes produced by aFold may help the experimentalist to avoid arbitrary choice of cut-off thresholds and may enhance subsequent downstream functional analyses. Here is the example for how to use aFold in ABSSeq.

```
> data(simuN5)
> obj <- ABSDataSet(counts=simuN5$counts, groups=factor(simuN5$groups))
> obj <- ABSSeq(obj, useaFold=TRUE)</pre>
```

```
> res <- results(obj,c("Amean","Bmean","foldChange","pvalue","adj.pvalue"))
> head(res)

Amean Bmean foldChange pvalue adj.pvalue
1 3.562610 3.380204 -0.04125768 7.757687e-01 9.410283e-01
2 10.650049 13.567531 0.36224008 1.238933e-02 1.665142e-01
3 11.052189 13.053827 0.50537531 4.847530e-04 1.531717e-02
4 4.093067 4.979935 0.21658502 1.348430e-01 5.603766e-01
5 11.539225 13.777011 1.02150694 1.759256e-12 5.345993e-10
6 9.192270 10.369474 0.43023062 2.975533e-03 6.158168e-02
```

# 4 PCA analysis via aFold

aFold model also stabilizes variances across expression levels, which could be used for principal component analysis (PCA). Here is an example. Noticeably, the group information is not necessary for the ABSDataSet object under PCA analysis.

```
> data(simuN5)
> obj <- ABSDataSet(counts=simuN5$counts)</pre>
> ##as one group
> cond <- as.factor(rep("hex",ncol(simuN5$counts)))</pre>
> ##normalization
> cda <- counts(obj,T)</pre>
> ##variance stabilization
> sds <- genAFold(cda,cond)
> ##sds is list vector, which contains variance stabilized read counts in 3rd element
> ##or expression level adjusted counts in 4th element. 3rd element is more sensitive
> ##to difference between samples than the 4th one. Here we use the 4th element for a
> ##PCA analysis.
> ## log transformation
> ldat <- log2(sds[[4]])
> ## PCA analysis
> PCA <- prcomp(t(ldat), scale = F)
> ## Percentage of components
> percentVar <- round(100*PCA$sdev^2/sum(PCA$sdev^2),1)
> ## ploting
> pc1=PCA$x[,1]
> pc2=PCA$x[,2]
> #plot(pc1,pc2,main="",pch=16,col="black",xlab="PC1",ylab="PC2",cex=1.2)
```

# 5 DE analysis with complex design

In combination with linear model from limma [2], aFold is capable to analyze data set with complex experimental design, which is performed by the function ABSSeqlm. Here is an example.

```
> data(simuN5)
> groups<-factor(simuN5$groups)</pre>
```

```
> obj <- ABSDataSet(counts=simuN5$counts)
> design <- model.matrix(~0+groups)
> res <- ABSSeqlm(obj,design,condA=c("groups0"),condB=c("groups1"))
> head(res)

basemean logFC pvalue p.adj
1 4.690153 -0.03870026 8.051044e-01 9.610751e-01
2 14.191909 0.36116377 2.129451e-02 4.435846e-01
3 14.022025 0.35511690 2.356381e-02 4.705514e-01
4 5.924728 0.20662942 1.876926e-01 8.335799e-01
5 13.832014 1.00860220 1.270311e-10 4.796003e-08
6 10.391500 0.41516516 8.120320e-03 2.443746e-01
```

Noticely, the parameters <code>condA</code> and <code>condB</code> could contain multiple conditions (factors) to run a comparison between multiple condtions. The function <code>ABSSeqlm</code> could be also used for analysis of variance (ANOVA) across conditions. To run ANOVA, all conditions (factors) are imported to the parameter <code>condA</code> in the function <code>ABSSeqlm</code> (without <code>condB</code>).

```
> res <- ABSSeqlm(obj,design,condA=c("groups0","groups1"))
> head(res)

basemean logFC pvalue p.adj
1 4.690153 0.02736522 8.631600e-01 0.99995335
2 14.191909 0.25538135 1.077354e-01 0.99995335
3 14.022025 0.25110556 1.137579e-01 0.99995335
4 5.924728 0.14610906 3.574518e-01 0.99995335
5 13.832014 0.71318946 7.060844e-06 0.00266579
6 10.391500 0.29356610 6.446461e-02 0.99995335
```

The linear model is performed by lmFit from limma [2], which could be suppressed via the parameter lmodel as

```
> res <- ABSSeqlm(obj,design,condA=c("groups0"),condB=c("groups1"),lmodel=FALSE) > head(res)
```

```
basemean logFC pvalue p.adj

1 4.690153 -0.03870026 8.051044e-01 9.610751e-01

2 14.191909 0.36116377 2.129451e-02 4.435846e-01

3 14.022025 0.35511690 2.356381e-02 4.705514e-01

4 5.924728 0.20662942 1.876926e-01 8.335799e-01

5 13.832014 1.00860220 1.270311e-10 4.796003e-08

6 10.391500 0.41516516 8.120320e-03 2.443746e-01
```

## References

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