# Package 'CountClust'

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```
Title Clustering and Visualizing RNA-Seq Expression Data using Grade
      of Membership Models
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Maintainer Kushal Dey <kkdey@uchicago.edu>
Description Fits grade of membership models (GoM, also known as admixture models) to clus-
      ter RNA-seq gene expression count data, identifies characteristic genes driving cluster member-
      ships, and provides a visual summary of the cluster memberships.
Depends R (>= 3.4), ggplot2 (>= 2.1.0)
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```

Type Package

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Abund	lanceGoM GoM model fit for abundance data	

#### **Description**

GoM model fit for abundance data

# Usage

AbundanceGoM

# **Format**

A list of GoM model output

# Value

A list of GoM model output

BatchCorrectedCounts Obtain Batch effect Corrected counts

# Description

This function first converts counts data to log CPM data, then apply a linear model with the batch effect as a factor. We take the sum of intercept, residuals and mean batch effect across all the batches and then inverse transform it back to counts to get rid of batch effects.

# Usage

BatchCorrectedCounts(data, batch\_lab, use\_parallel = TRUE)

compare\_omega 3

#### **Arguments**

data count matrix, with samples along the rows and features along the columns.

batch\_lab batch label vector.

use\_parallel if TRUE, we do a parallel analysis over features, else serial application.

#### Value

Returns a counts data. with same dimension as the input data, but which is corrected for batch\_lab.

# **Examples**

```
# Simulation example
N=500;
K=4;
G=100;
Label.Batch=c(rep(1,N/4),rep(2,N/4),rep(3,N/4),rep(4,N/4));
alpha_true=matrix(rnorm((K)*G,0.5,1),nrow=(K));
library(gtools)
tt <- 10;
omega_true = matrix(rbind(rdirichlet(tt*10,c(3,4,2,6)),
                         rdirichlet(tt*10,c(1,4,6,3)),
                         rdirichlet(tt*10,c(4,1,2,2)),
                         rdirichlet(tt*10,c(2,6,3,2)),
                         rdirichlet(tt*10,c(3,3,5,4))), nrow=N);
B=max(Label.Batch);
sigmab_true=2;
beta_true=matrix(0,B,G);
for(g in 1:G)
    beta_true[,g]=rnorm(B,mean=0,sd=sigmab_true);
}
read_counts=matrix(0,N,G);
for(n in 1:N){
    for(g in 1:G)
    {
        read_counts[n,g]=rpois(1, omega_true[n,]%*%exp(alpha_true[,g]
                                                       + beta_true[Label.Batch[n],g]));
   }
}
batchcorrect_counts <- BatchCorrectedCounts(read_counts, Label.Batch,</pre>
                                      use_parallel=FALSE)
```

compare\_omega

Re-ordering cluster membership proportion matrices and Information calculation

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# **Description**

This function computes a re-ordering of the clusters from GoM model fit in one model to make it comparable with that from another. The two models are applied on the same set of samples with same number of clusters, but the features may change from one model to another. The two models may not be of same type as well. One could be a DAPC model, the other a standard topic model. Aids in checking for consistency in topic proportion patterns across multiple GoM methods or across different types of feature sets.

#### Usage

```
compare_omega(omega1, omega2)
```

# **Arguments**

omega1 cluster membership proportion matrix (N x K) from model 1
omega2 cluster membership proportion matrix (N x K) from model 2

#### Value

Returns a list containing

kl.dist A symmetric KL divergence matrix across the re-ordered clusters of two omega matrices

kl.order\_model2\_topics

re-ordering of the clusters for omega2 to match the clusters for omega1 based on KL divergence

kl.information\_content

A measure based on KL information to record how much information in omega2 is explained by omega1. Varies from 0 to 1

cor.dist A correlation matrix across the re-ordered clusters of two omega matrices

cor.order\_model2\_topics

re-ordering of the clusters for omega2 to match the clusters for omega1 based on correlation information

cor.information\_content

A measure based on correlation information to record how much information in omega2 is explained by omega1. Varies from 0 to 1

compGoM 5

compGoM
---------

compGoM: compare GoM model fits across K or across different runs through log-likelihood, BIC and null loglikelihood

# Description

This function takes the FitGoM/maptpx fitted model and computes log likelihood, BIC and null model loglikelihood for the fitted GoM models.

#### Usage

```
compGoM(data, model)
```

# **Arguments**

data matrix on which GoM model is fitted (samples along rows, genes along columns)
model FitGoM ormaptpx::topics function output (either a class topics or a list of class topics).

#### Value

compGoM\_models a vector list that returns the BIC and loglikelihood values for each of the fitted models in model.

```
read.data <- function() {</pre>
  x <- tempfile()</pre>
  download.file(paste0("https://cdn.rawgit.com/kkdey/",
                           "singleCellRNASeqMouseDeng2014",
                           "/master/data/Deng2014MouseEsc.rda"),
                 destfile = x, quiet = TRUE)
  z <- get(load((x)))</pre>
  return(z)
Deng2014MouseESC <-read.data()</pre>
# Extract observed counts
deng.counts <- Biobase::exprs(Deng2014MouseESC)</pre>
# Import GoM fitting results
data("MouseDeng2014.FitGoM")
names(MouseDeng2014.FitGoM)
compGoM(data = t(deng.counts),
           model = MouseDeng2014.FitGoM)
compGoM(data = t(deng.counts),
           model = MouseDeng2014.FitGoM$clust_3)
```

ex.counts

counts data for GTEx V6 Brain data for 200 genes

#### **Description**

counts data for GTEx V6 Brain data for 200 genes

# Usage

ex.counts

#### **Format**

A data frame 1259 by 200 in dimensions

#### Value

A data frame 1259 by 200 in dimensions

ExtractHighCorFeatures

Extracting most highly correlated genes with GoM topics/clusters

#### **Description**

This function compares grades of membership profile for each cluster in GoM model fit with the data expression profile to identify genes that are mostly strongly associated with each topic.

#### Usage

ExtractHighCorFeatures(omega, data, num\_genes = 100)

#### **Arguments**

omega omega matrix, the relative grades of memberships from the GoM model fitting

(a NxK matrix where N is number of samples, K number of topics).

data GxN matrix of the expression profile of genes across samples, where G is the

number of features and N number of samples

num\_genes The number of top associated genes with each cluster. Defaults to 100

# Value

A list containing two items - a  $Kxnum_genes$  matrix of the top strongly associated/correlated indices/features for K clusters, and another  $Kxnum_genes$  matrix of the absolute values of the correlations.

ExtractTopFeatures 7

# Description

This function uses relative gene expression profile of the GoM clusters and applies a KL-divergence based method to obtain a list of top features that drive each of the clusters.

# Usage

```
ExtractTopFeatures(theta, top_features = 10, method = c("poisson",
   "bernoulli"), options = c("min", "max"), shared = FALSE)
```

#### **Arguments**

theta	theta matrix, the relative gene expression profile of the GoM clusters (cluster probability distributions) from the GoM model fitting (a $GxK$ matrix where $G$ is number of features, $K$ number of topics).
top_features	The top features in each cluster $k$ that are selected based on the feature's ability to distinguish cluster $k$ from cluster $1, \ldots, K$ for all cluster $k \neq l$ . Default: 10.
method	The underlying model assumed for KL divergence measurement. Two choices considered are "bernoulli" and "poisson". Default: poisson.
options	if "min", for each cluster k, we select features that maximize the minimum KL divergence of cluster k against all other clusters for each feature. If "max", we select features that maximize the maximum KL divergence of cluster k against all other clusters for each feature.
shared	if TRUE, then we report genes that can be highly expressed in more than one cluster. Else, we stick to only those genes that are highest expressed only in a specific cluster.

#### Value

A matrix ( $K \times top_features$ ) which tabulates in k-th row the top feature indices driving the cluster k.

```
data("MouseDeng2014.FitGoM")
theta_mat <- MouseDeng2014.FitGoM$clust_6$theta;
top_features <- ExtractTopFeatures(theta_mat, top_features=100, method="poisson", options="min");
top_features$indices
top_features$scores</pre>
```

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FitGoM	Run Grade of Membership (GoM) model with multiple starting points !

# Description

Fits grade of membership model FitGoM() to count data with multiple starting points and choose the best fit using BIC (Bayesian Information Criterion). the multiple starting points ensure that the output is more reliable.

#### Usage

```
FitGoM(data, K, tol = 0.1, num_trials = 1, options, path_rda = NULL,
  control = list())
```

#### **Arguments**

data	counts data $NxG$ , with $N$ , the number of samples along the rows and $G$ , number of genes along columns.
K	the vector of clusters or topics to be fitted. Must be an integer, unlike in <code>]FitGom()</code> . So you need to apply this function separately for each K.
tol	Tolerance value for GoM model absolute log posterior increase at successive iterations (set to 0.1 as default).
num_trials	The number of trials with different starting points used.
options	the measure used to choose best fit, either "BF" or "BIC" measures can be used. BF is more trustworthy, but BIC can be used for better model comparison.
path_rda	The directory path for saving the GoM model output. If NULL, it will return the output to console.
control	Control parameters for the GoM model fits. Same as topics() function of maptpx package.

# Value

Outputs the best GoM model fit output for cluster K and saves it at the directory path in path\_rda if the latter is provided.

# References

Matt Taddy. On Estimation and Selection for Topic Models. AISTATS 2012, JMLR W\&CP 22.

Pritchard, Jonathan K., Matthew Stephens, and Peter Donnelly. Inference of population structure using multilocus genotype data. Genetics 155.2 (2000): 945-959.

GTExV6Brain.FitGoM 9

GTExV6Brain.FitGoM

GoM model fit for GTEx V6 Brain bulk-RNA data

# **Description**

GoM model fit for GTEx V6 Brain bulk-RNA data

#### Usage

GTExV6Brain.FitGoM

#### **Format**

A list of GoM model output for k=7

#### Value

A list of GoM model output for k=7

handleNA

Deal with NAs in the dataset!

## **Description**

This function handles the NA values in the count data. If for a feature, the proportion of NAs is greater than threshold proportion, then we remove the feature, otherwise we use MAR substitution scheme using the distribution of the non NA values for the feature. If threshold proportion is 0, it implies removal of all features with NA values. Default value of threshold proportion is 0.

# Usage

```
handleNA(data, thresh_prop = 0)
```

# **Arguments**

data count data in a sample by feature matrix.

thresh\_prop threshold proportion of NAs for removal of feature or replacing the NA values.

# **Details**

This function removes NAs from the counts data

# Value

Returns a list with

data The modified data with NA substitution and removal

na\_removed\_cols

The columns in the data with NAs that were removed

na\_sub\_cols The columns in the data with NAs that were substituted

#### **Examples**

```
mat <- rbind(c(2,4,NA),c(4,7,8),c(3,NA,NA));
handleNA(mat,thresh_prop=0.5)
handleNA(mat)</pre>
```

MouseDeng2014.FitGoM GoM model fit for Deng et al 2014 single cell RNA-seq data on mouse

# **Description**

GoM model fit for Deng et al 2014 single cell RNA-seq data on mouse

#### Usage

MouseDeng2014.FitGoM

#### **Format**

A list of GoM model output for 6 clusters (k=2:7)

#### Value

A list of GoM model output for 6 clusters (k=2:7)

MouseJaitinSpleen.FitGoM

GoM model fit for Jaitin et al 2014 single cell RNA-seq data on mouse

# Description

GoM model fit for Jaitin et al 2014 single cell RNA-seq data on mouse

#### Usage

MouseJaitinSpleen.FitGoM

#### **Format**

A list of GoM model output for k=7

# Value

A list of GoM model output for k=7

nullmodel\_GoM 11

nullmodel_GoM	Null models for Grade of Membership (GoM) cluster validation	

#### **Description**

Use null models (popular in ecology) to generate randomized matrix of counts given the observed data matrix, fit the GoM model to these null matrices and compare the fit on null model data with that on the observed data. Used for validating the GoM clusters

#### Usage

```
nullmodel_GoM(counts, K, tol = 0.1, null.model = c("frequency", "richness",
   "independentswap", "trialswap"), iter_fill = 1000, iter_randomized = 100,
   plot = TRUE)
```

# **Arguments**

counts The counts matrix (N x G): N- the number of samples, G- number of features

K The number of clusters to fit

tol The tolerance of the GoM model fitted

null.model The type of nullmodel used (similar to the randomizeMatrix() function argument

in picante package)

iter\_fill The number of swaps/fills in each randomized matrix build

 $iter\_randomized$ 

The number of randomization matrices generated

plot If TRUE, plots density of log Bayes factor

# Value

#### Returns a list with

GoMBF.obs log BF for the observed counts with K=2 against the null with no clusters

GoMBF. rand a vector of log BF for each randomized count matrix with K=2 against the null

with no clusters

pval the p-value of the observed log Bayes factor against the ones from randomized

matrices

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RemoveSparseFeatures Removes features with a lot of 0 counts

#### **Description**

This function deals with zero counts in the counts dataset. If for a feature, the proportion of zeros across the samples is greater than filter\_prop, then we remove the feature.

#### Usage

```
RemoveSparseFeatures(data, filter_prop = 0.9)
```

#### **Arguments**

data count data in a sample by feature matrix.

filter\_prop threshold proportion. If the proportion of zeros for the feature exceeds this

threshold then we remove the feature altogether. Default is 0.9.

#### Value

Returns a list with

```
data filtered data with sparse features removed sparse_features
```

the feature names of the features found sparse and removed

#### **Examples**

```
mat <- rbind(c(2,0,3,0,4),c(4,5,5,0,0),c(30,34,63,25,0),c(0,0,0,0));
RemoveSparseFeatures(mat, filter_prop = 0.5)
RemoveSparseFeatures(mat)
```

 ${\tt Structure GGplot}$ 

Struture plot using ggplot2

#### **Description**

Make the traditional Structure plot of GoM model with ggplot2

# Usage

```
StructureGGplot(omega, annotation = NULL,
   palette = RColorBrewer::brewer.pal(8, "Accent"), figure_title = "",
   yaxis_label = "Tissue type", order_sample = TRUE,
   sample_order_decreasing = TRUE, sample_order_opts = 1,
   split_line = list(split_lwd = 1, split_col = "white"), plot_labels = TRUE,
   axis_tick = list(axis_ticks_length = 0.1, axis_ticks_lwd_y = 0.1,
   axis_ticks_lwd_x = 0.1, axis_label_size = 3, axis_label_face = "bold"),
   legend_title_size = 8, legend_key_size = 0.4, legend_text_size = 5)
```

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#### **Arguments**

omega Cluster membership probabilities of each sample. Usually a sample by cluster matrix in the Topic model output. The cluster weights sum to 1 for each sample.

annotation data.frame of two columns: sample\_id and tissue\_label. sample\_id is a vetor

consisting of character type of variable, which indicates the unique identifying number of each sample. tissue\_label is a vector consisting of factor type of variable, which indicates the sample phenotype that is to be used in sorting and grouping the samples in the Structure plot; for example, tissue of origin in making Structure plot of the GTEx samples. Default is set to "none for when no

phenotype information is used to order the sample vectors.

palette Colors assigned to label the clusters. The first color in the palette is assigned

to the cluster that is labeled 1 (usually arbitrarily assigned during the clustering process). Note: The number of colors must be the same or greater than the number of clusters. When the number of clusters is greater than the number of colors, the clusters that are not assigned a color are filled with white in the figure. The recommended choice of color palette is RColorBrewer, for instance RColorBrewer::brewer.pal(8, "Accent") or RColorBrewwer::brewer.pal(9, "Set1").

figure\_title Title of the plot.

yaxis\_label Axis label for the phenotype used to order the samples, for example, tissue type

or cell type.

order\_sample Whether to order the samples that are of the same tissue label or phenotype

lable, that is, having the same label in the tissue\_label variable. If TRUE, we order samples that are of the same phenotype label and sort the samples by membership of most representative cluster. If FALSE, we keep the order in the

data.

sample\_order\_decreasing

If order\_sample=TRUE, then order the sample in descending (TRUE) or ascend-

sample\_order\_opts

Orders by different choices of clusters in a batch. Can take the values 1, 2, 3 or

4 corresponding to 4 ordering options. Default equal to 1.

split\_line Control parameters for the line that separates phenotype subgroups in the plot.

plot\_labels If TRUE, the plot the axis labels.

axis\_tick Control parameters for x-axis and y-axis tick sizes.

legend\_title\_size

The size of the title of the Structure Plot representation.

legend\_key\_size

The size of the legend key in Structure plot.

legend\_text\_size

the size specification of the legend text.

#### Value

Plots the Structure plot visualization of the GoM model

#### **Examples**

data("MouseDeng2014.FitGoM")

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```
# extract the omega matrix: membership weights of each cell
names(MouseDeng2014.FitGoM$clust_6)
omega <- MouseDeng2014.FitGoM$clust_6$omega</pre>
tissue_label <- rownames(omega)</pre>
# make annotation matrix
annotation <- data.frame(</pre>
  sample_id = paste0("X", c(1:NROW(omega))),
  tissue_label = factor(rownames(omega),
                      levels = rev( c("zy", "early2cell",
                                      "mid2cell", "late2cell",
                                      "4cell", "8cell", "16cell",
                                      "earlyblast","midblast",
                                      "lateblast") ) ) )
head(annotation)
# setw rownames of omega to be sample ID
rownames(omega) <- annotation$sample_id</pre>
StructureGGplot(omega = omega,
                 annotation = annotation,
                 palette = RColorBrewer::brewer.pal(8, "Accent"),
                 yaxis_label = "development phase",
                 order_sample = TRUE,
                 axis_tick = list(axis_ticks_length = .1,
                                   axis\_ticks\_lwd\_y = .1,
                                   axis\_ticks\_lwd\_x = .1,
                                   axis_label_size = 7,
                                   axis_label_face = "bold"))
```

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